



EARLY CONTACTS OF TURKS AND PROBLEMS OF PROTO-TURKIC RECONSTRUCTION

Anna Vladimirovna Dybo,

Institute of Linguistics, RAS, Russian Federation,
1 B.Kislovski Street, Moscow, Russia, 1125009,
adybo@mail.ru

The article presents the results of our studies of loanwords in the Turkic languages and borrowings from the Turkic languages that can be classified as borrowed in Proto-Turkic and Common Turkic times. The classification is based on the availability of these words in different groups of the Turkic languages and in Ancient Turkic texts. The classification is based on the presence of these words in different groups of the Turkic languages and ancient Turkic texts. The data collected can testify both in favor and against the decisions taken during the development Proto-Turkic reconstruction.

Key words: Proto-Turkic period, Common Turkic period, reconstruction, borrowings.

Below, we present the results of our studies of Turks' early language contacts conducted within the frame of the Proto-Turkic reconstruction, appeared in [1, 2], and other works of the Moscow

Comparative-Historical School. The genealogical classification of the Turkic languages, accepted in these works, is used in the research.

The Genealogical Tree of the Turkic Languages

Proto-Turkic						
Proto-Bulgarian		Common Turkic				
Danube Bulgarian	Volgaic Bulgarian	Yakut-Dolgan	Sayan	"Kyrgyz"	Kypchak-Karluk	Oghuz
	Chuvash	Yakut, Dolgan	Tuvianian, Tofa,...	Khakas, Shor, Saryg Yuygu, ...	Tatar, Kumuk, Karaim, Balkar, Kazakh, Uzbek, New Uygur,...	Turkish, Azeri, Turkmen, Salar,...

1.0. The contacts of Proto-Turkic with Late Old Chinese

The dating of the first Proto-Turkic disintegration, achieved by means of glottochronology, is about the first century B.C. [2].

A new (after Pulliblank) reconstruction of the phonetic history of Chinese which we are guided by in our conclusions can be seen in: [3, 4, 5].

The processes in Old Chinese that enable to date the time of OCh borrowings in PT are as follows:

Laterals > fricatives, *Lh* > *zh* before short vowels: Eastern Han (0-3 cent. A.D.)

T > *c̄* before short vowels: Eastern Han (0-3 cent. A.D.)

L > *D* in initials: Early Postclassical Chinese (3 cent. A.D.)

r, rh > *l, lh*: Early Postclassical Chinese (3 cent. A.D.)

pr- > *p-*: Early Postclassical Chinese (3 cent. A.D.)

Tr- > retroflex stops: Western Han (3 cent. B.C.-0 cent.)

-r > *-n*: Classical Old Chinese (7 cent. B.C.-3 cent. B.C.)

No processes later than Early Postclassical Chinese can be observed in this lexis.

Thus, the dating of these language contacts can be defined as the third century B.C. and the third century A.D.

The words showing the phonetic peculiarities of the Proto-Turkic language can be divided into three groups.

a) Late Old Chinese borrowings in Proto-Turkic

1. *(*a*)*laču-k* ‘cottage, small yurt’: LOCh. *la-λia?* 嘉舍‘cottage’

2. (?)**altun*, Chuv. *iltän* ‘gold’: LOCh. *dōŋ* 銅 ‘copper, bronze’

3. **gümüλ* ‘silver’: LOCh. **kəm-liw* 金 鐸, ‘bright silver’

4. **Təmür* ‘iron’: LOCh. **tiēt-mhwit* (= *mwut*) ‘iron thing’, dial. *Tiēr-mwur* 鐵 物

5. **könüg* *suv* ‘quicksilver’: LOCh. **köŋŋ* 汞 ‘quicksilver’

6. **bék* ‘a nobility rank’: LOCh. *pēk* 伯 ‘to be elder’

7. **čerig* ‘army’, originally ‘army formation’: LOCh. *cjət*, dial. *cjər* 節 ‘knee; detachement’

8. **sü* ‘army’: LOCh. **swò* 戍 ‘frontier guards’

9. **biti-* ‘write’: LOCh. *pit*: 筆 ‘writing brush’

10. **kujn* ‘scroll, book’: LOCh. *kwén* 卷 ‘reel, coil, volume’

11. **bengü* ‘eternal’: LOCh. *mwən* ‘be ten-thousand, myriad’ 萬 + LOCh. 古 *kō* ‘to be ancient’

12. **čin* ‘verity’: LOCh. 貞 *t'ey* ‘to test, try out, correct’

13. **deng* ‘equal’: LOCh. 等 *tāŋŋ* ‘equal’

14. **dōn* ‘clothes’: LOCh. *tōn* ‘black straight robe’

15. **kög* ‘tune’: LOCh. 曲 *khok* ‘melody’

16. **sir* ‘color, dye, lacque’: LOCh 漆 *shit* ‘lacquer tree, lacquer (*Rhus verniciflua*)’

17. **jinčü* ‘pearls’: LOCh 真 *čin* ‘true’ + 珠 *čwo* ‘pearls’

18. **čavli* ‘a species of falcon’: LOCh 鶲 *żawh* ‘sparrow hawk (*Accipiter nisus*)’

19. **Turma* ‘radish, horseradish’: LOCh 土卵 *thārhwān* ‘yam’ (lit. “earthen egg”)

b) Proto-Turkic exotisms in Chinese Han transcriptions (Shi zi, Han shu)¹

撐黎 **thāŋŋ-rāj* ‘sky’ < *tajrī

瀧 **roŋŋ* ‘headquarters’ < *orun

徑路 **kēŋŋ-rāh* ‘sword’ < *Kijrak

廓洛 **k(h)wā(k)-r(h)āk* ‘belt’ < *Kur-yak

服匿 **bwək-ŋək* ‘a k. of vessel’ < *bök-lüg ‘having a cork’

駢驥 **kwjāt-d(h)ē* ‘a k. of horse’ < *Katirū ‘mule’

駒駘 **Lhāw-Lhā* ‘a small horse’ < *ilaλa ‘a bad horse’

驃驂 **d(h)ān-gēh/kēh* ‘wild horse’ < *Taki

蛩蛩 **g(h)oŋŋ-g(h)oŋŋ* ‘a k. of horse’ < *Koŋur ‘brown’

橐駝 **thāk-lhāj* ‘camel’ < *taj-lag ‘young camel’

毘脫 **ywā-lwāt* ‘nomad settlements’ < *Koλ-ut

隔昆 **krēk-kwān* ‘Kirgiz’ < *Kirkiř

匈奴 **yōŋŋ-nhā* ‘Hsiung-nu’ < *hunga

屠耆 *dā-grjəj* ‘right’ < *dogro ‘right’

谷蠡 **kōk-r(h)ə* ‘patrimony’ < *Kor(i)yī

且居 **chiá-ka* a title < *čiika-n

稽粥 **kjəj-təuk* N. pr. < *Katik ‘hard’

呼廚泉 **wā-dwa-ʒjwan* N.pr. < *otoci-n ‘healer’

c) Postclassic Chinese transcriptions (310 A.D. from Zin shu, Wei shu)

秀支 **śəw-kje* ‘army’ < *sü-ge

替戾岡 **thiēj-liet-kāŋŋ* ‘go out’ < *taλi-t-kan ‘withdrawn’

僕谷 **bwōk-kwōk* a title < *bökö-g ‘hero – Acc’

劬禿當 **g(h)wo- thwōk-tāŋŋ* ‘seize’ < *götök-tä-ŋ ‘you will seize’

跋 **bāt* ‘self, master’ < *bod

鐵伐 **thiēt-b(h)wət* ‘iron’ < *Təmür

迦沙 **kā-ʂā* ‘jade’ (?) < *Kāλ

逗落 **d(h)ōw-lāk* ‘mound’ < *durak

¹ Here and below the Old Chinese hieroglyphic transcriptions of foreign words are taken from [6; 7; 8]; the full analysis see in [2].

昆子 **kwən-cjí* ‘beaver’ < **Kuntřž*
 夾兜 **kiēp-tōw* ‘churn’ < **kiüp-i-*
 屈子 **khwit-kwət* ‘low’ < **Kodku-t*
 托 **thák* ‘earth’ < **Tog*
 赫連 **hēk-len* ‘red’ < **jegren*

丁菱 **tiēy-līj* an ethnonym < **Tely-*

In the same groups of Chinese sources we find the lexemes among “Hsiung-nu” words that can be traced as Iranian ones, borrowed from one of the Eastern Middle Iranian languages which was similar to a kind of archaic Khotanese Saka².

d) Iranian exonyms among “Hsiung-nu” Chinese transcriptions (only from Shi zi and Han shu), dairy husbandry words and titles.

1. 酪 **rāk* ‘koumiss’ – PIran. **ranka-* (+ *-aka*), Kh. Saka *ragai* ‘fermented liquor’; Osset. *rong* ‘fermented honey liquor’.

2. 涩 **toŋh* ‘milk, koumiss’ (Han epoch, about a liquor from whipped horse milk) – PIran. **dauyna* (from **duž-* ‘to milk’) > Osset. *donaq/dony* ‘yield of milk’, Wakhi *dingí* ‘milk products’

3. 酥酪 **sā rāk* ‘cream, butter’ – MIran. ***sara-ka* < PIran. ***sarah-*, OInd. *śaras-* ‘milk skin, cream’.

4. 醍醐 **tē-g(h)ā* ‘light koumiss or clarified oil’ – MIran. **doga-(ya)*: PIran. **dauga-* > Cl.Pers. *dōy* ‘buttermilk’, Pers. *duy*, Kurd. *dō* ‘buttermilk’, Pashto *lway* ‘yield of milk’, Shugn. *dūy*, Yazg. *dəy*, Wakhi *di* ‘buttermilk’.

5. 單于 **tān-wa* ‘Shan-yu (title)’. Pulleyblank: = Turk. **darxan*, cf. **kraś-pin* ‘Kashmir’. Cf. a commentary in Han Shu: “this title means “vast” and demonstrates that this person is as vast as the sky”. Cf. OUig. *tarqan-* ‘to spread’, Caus. *tarqar-*.

6. 閼氏 **yāt-tāj* ‘Shan-yu spouse (title)’: cf. Sogd. *xuten* < **xwatāyn*, < **hva-tāvyaini* (f. from **hva-tāvyā-*) ‘lady’; > Early Saka **hvatuń* > Hsiung-nu **yāt-tāj* > Sogd. *xātūn* [x'twñ] ‘lady’ > OTurk. *qatun*.

7. 攀鞬 **r(h)wan dē* ‘royal clan of Hsiung-nu’ – Cf. Kh.Saka *runde* ‘kings’ (NPI of *rre* ‘king’ < **rwant-*).

8. 自次 **z(h)jə́š shjə́š* ‘second title after Shan-yu’ – Chinese compound ‘self’+‘following’, can be a loan-translation of a well-known Middle Iranian title – MPers. *pasāgrīv*, Parf. **paśāgrīv*, Sogd. *pš'yrw*, *pas/š-* ‘after’ и *grīv* ‘body, self’.

9. 日逐 **njə́t Lhəuk* a title of a *left-hand da-jiang* who, against the old custom could not be an

heir and thus, was given this title. Cf. Av. negation *noit*, + Iran. *(v)*rau-ka-* – ‘not-ruling’.

10. 居次 **ka shjə́š* ‘princess’ – MIran. **kan-č-ača* (Sogd. *knčy*, *knčyg*, Pehl. *kaničag*, Munji *kinčākā* ‘girl’ < PIran. **kan-* ‘little, young’).

The linguistic situation that led to such borrowings was probably caused by close contacts of Proto-Turks with early Saka, a number of Iranian borrowings in Proto-Turkic being the evidence for the fact.

e) Early East Iranian borrowings in Proto-Turkic (pre-Khotanese Saka; Kh.Saka was registered in the 7th-10th centuries A.D. but the separation of the Saka-Wakhi Iranian branch would be dated not later than the 5th century B.C.)

1. **ečkū* ‘tame goat’: Iran. **až-ya-k-ā*, from **ažā-*

2. **dāna* ‘heifer’: Iran. **dainu-kā*, Kh.Saka *dīnū* ‘cow’

3. **dorak* ‘cheese’: MIran. **tura-ka*, Kh.Saka *ttūra* ‘cheese’

4. **kumlak* ‘hop’: Iran. **hauma-aryaka* “Aryan haoma”, Osset. *x°ymællæg* ‘hop’ (**hauma* ‘ephedra’)

5. **bütnük* ‘mint’: MIran. **bodina-ka* (Osset. *bit'na* / *bet'na* ‘mint’, Shugn., Bartang *wiðn*, Rushan *wuðn*, Wakhi *waðn*, Munji *wālən*, Pashto *welðna*)

6. **arpa* ‘barley’: (?) Iran. **arpa-* ‘barley’, East Iran. **arbasyā-* (or **arpasyā*): Ishk. *úrv̥s*, Sangl. *vərvəs*, Yidgha *yaršīo*, Pashto *orba'si*, *urbə'si*

7. (?) **dura* ‘tower, fortification’: cf. Kh.Saka *ttaura* ‘wall’, Osset. *tyrg* ‘courtyard’

8. **gel* ‘house, home, family’: Iran. **grda-* ‘house’, MPers. *gilistak* [glsty, glystik] ‘Dev house’, Zor. Pehl. **gil-šāh* ‘house host’, Kh.Saka *ggalū* ‘family’ [13].

9. **dām* ‘wall of a stationary building’: Iran. **dam-* ‘house’ (Av. *dam*, Sogd. -*dam* ‘world’, Yazg. -*dom* in toponyms, cf. also Kh.Saka *damānu* ‘house’) [13].

10. **darkan* ‘title or post; in names’: PIE **tlk-*, IIran. *tark-* ‘to decide, to judge’, Sogd. *try'n*, *trx'n* [*tarxān*] ‘title’, Kh.Saka *ttarkana-* ‘title’, Osset. *tærxon* ‘justice’

11. **qayan*: ‘head of tribal confederation’, ‘lord’: MIran. **hva-kama-* ‘autokrator’ (*hva-* ‘self-’ and *kam-* ‘to wish’), cf. Sogd. *xutkame* [*xwt-k'm'-k*] [11] in the same meaning, Avestan *hvata-data-* and *hva-data*.

1.1. Phonetic peculiarities of Chinese-Turkic adaptation

1.1.1. Consonants

² The Khotanese Saka data see in [9], Ossetic in [10], Sogdian in [11], Avestan in [12].

Chinese non-aspirate stops > PT voiced (weak) stops;

Chinese aspirate stops > PT voiceless (aspirate) stops;

PT and Iranian *d- > OChinese *t*-;

PT and Iranian *t- > OChinese *th*-;

Multiple OChinese affricates > PT *č and PT *j-, so PT *j- should be phonetically close to affricates

OCh laterals > PT *l before back vowels and PT *λ before front vowels

PT *l, *λ > OCh *l*, *lh* in early transcriptions;? *λ > OCh *l* and *s* in late transcriptions

1.1.2. Vowels

Postclassical Old Chinese *iē*, *ē* > PT *e

Postclassical Old Chinese *ə*, *əi* > PT *ä

2.0. The "Tocharian loans" in Proto-Turkic and the problem of rhotacism /lambdaism

A. Róna-Tas [14] suggests that the words below are Tocharian loanwords in Proto-Turkic and that their phonetics proves the "rhotacist" model for Proto-Turkic; i.e., that the Common Turkic *š, Chuvash *l* are the reflexes of PT *š and not of a lateral phoneme. This view is contrary to our view supported by the phonetic appearance of Chinese-Proto-Turkic contact words seen above. But all these supposed Tocharisms are unreliable.

PT *Koł 'cottage' (< PA *kuÍV 'enclosure', PTM *kuli- 'to fence') — Toch.B *koṣkīye* 'hut' ([15: №1101] — from MIr., cf. Pahlavi *kwšk* 'a part of a building', Modern Persian *kōšk*); where is -k- in PT form? (The Turkic etymology is severely criticized by J. Reinhart [16]). The MIr. etymology for Toch. B is preferable. Probably, Toch. B *kwaṣo* 'country' [15: №1217] could be a loan from OT *koš (<PT *Koł), see [13: 224].

PT *biłči- > *biši- 'to churn' (Tat. *peš-* 'to churn', Bashk. *beše-* 'to whip; beat', Kaz. *pis-* 'to churn', Kkalp. *pis-*; Kirg. *biš-/ biš-*; Chuv. *pəžer-* 'to beat', Yak. *bis-* 'to smear' (PA *bilč'i- 'to mix, to knead', PMong. *bilča- 'to smear', MKor. *pič-* 'to mix'); — Toch.B *peške* 'clarified butter, ghee' (Adams №2180, no IE etymology). Rather OT > Toch. B, see [17].

PT *kil 'sable' (< PA, see below) — unattested PToch. *kiś < PIE *kek'-, *k'ek'- 'weasel, polecat', Sanskr. *káśa-* m. 'a sp. of rodent animal', *kaśikā* f. 'weasel', Balt. *čeč-k-a- or Sanskr. *śaśá-* m. 'hare', Greek Cret. *kekēn* 'hare'. But Tocharian reflex of both PIE stems must be PToch. *šíš.

3.0. The contacts of Proto-Turkic with Proto-Samodic (disintegration of PSam: ~ 300 B.C.) [18].

a) Turkic loanwords in Proto-Samodic³

1. CT *Kapuj 'bumblebee' < PAlt. *k'ap'u 'stinging insect'. Probably > PSam. *kepu 'Wespe' (without Uralic etymology).

2. PT *bālik 'fish' < PAlt. *páli 'k. of fish'. Probably > PSam. *pājk3 'dried fish' (without Uralic etymology).

3. PT *bEkre 'k. of sturgeon' < PA *bek'ú 'k. of fish'. Probably > PSam. *wekänä / *wek3r3 'sturgeon'.

4. PT *kīl 'sable'. Probably > PSam. *ki, *kil3 'sable' (without Uralic etymology).

5. CT *junt 'horse, mare'. Probably > PSam. *junt3 'horse'.

6. (?) CT *dal 'branch, willow' < PAlt. *čālū 'k. of leaf-bearing tree'. Probably > PSam. *ta(ə)j 'branch, ast' (without Uralic etymology).

7. PT *bat- 'sink, drown, set (about sun)'. Probably > PSam. *pāt- id. (without Uralic etymology).

8. PT *Kił 'winter'. Probably > PSSam. *kē 'winter'.

9. PT *üř 'fat'. Probably > PSam. *jür id.

10. CT *jama- 'patch, darn' < PA *némē 'patch, darn' Probably > PSam. *jemhə- 'patch' (without Uralic etymology).

11. CT *bałmak 'k. of shoes'. Probably > PSam. *pājmā 'shoes' (without Uralic etymology).

12. CT *(h)ejke- 'sow, sharpen' Probably > PSam. *jikā- 'sow, sharpen';

13. CT *kīn 'sheath'. Probably > PSam. *ken id.

14. CT *jasa- 'build' < PA *dasa- 'rule'. Probably > PSam. *jēsəj- 'to build a chum' (without Uralic etymology).

15. PT *Kamič 'scoop' = PIran. *kapiči-, *kapič-aka 'ladle, scoop'. Probably > PSam. *kāps/šā 'Zauberlöffel' (for libations).

16. PT *kān 'lord'. Probably > PSam. *kāñ id. (without Uralic etymology).

17. PT *(h)ejkiř 'twins'. Probably > PSam. *jekā 'twin'.

18. PT *jōk 'no', *jōk-a-l- (Pass. of denom. verb) 'to be lost, to disappear'. Probably > PSam. *jokā- (? *jok-) 'sich verirren' (without Uralic etymology).

19. PT *dört 'four'. Probably > PSam. *tettā 'four' (without Uralic etymology).

20. PT *jūř 'hundred'. Probably > PSam. *jür 'hundred'.

21. CT *Kir 'grey, grey-haired'. Probably > PSam. *kir 'grey, light, white'

³ The Proto-Samodic reconstructions are mainly taken from [18; 19; 20]. The full analysis see in [2].

b) Probable Samodic loans in Proto-Turkic

1. PSam. *käsa ‘bark’ > CT *käs ‘bark’ (OUig. *qas*, *qasuq*, Krh.-Uig. *qas*, *qasuq* (MK), Halaj *qās*). The Samodic word has a standard Uralic etymology (PFU *ko(n)čk3 ‘bark’).

2. (?) PSam. *kaštia ‘fir tree’ > PT *Kadi ‘pine tree’

3. (?) PSam. *tītey ‘Siberian cedar’ > CT *Tīt ‘larch’;

4. PSam. *kožja ‘mountain, watershed’ > CT *K(i)aJa ‘rock, mountain’. PSam < PUr *ka δ'a > Hung. *hegy* ‘top, mountain’), CT has no good Alt. etymology.

5. PSam. *wēñz ‘meat or fish soup, broth’ > (?) CT *būn (*būjn?) ‘meat soup, broth’

3.1. Phonetic peculiarities of Samodic-Turk, Turk-Samodic adaptation

3.1.1. Consonants

- PT *ř, *λ > PS *r, *l (PS *l gave *j in the syllable end automatically).

PT *j- > PS *j-.

PT *h- > PT *θ-

Samodic has no Anlaut laryngeal oppositions on stops.

3.1.2. Vowels

PT *a > PS *e, *ę in open syllables and *a, before *j — *ä, *å in closed syllables

4.0. The contacts of Proto-Turkic with Proto-Yenissean⁴ (disintegration into Ketish and Kottish groups ~ the turn of the Christian Era)

a) Proto-Turkic loans in Proto-Yenissean

1. PYen. *χɔtyr ‘felt, cloth’ < PT *kidir ‘felt’ > CT *kidiz.

2. PYen. *? i? n ‘needle’ < PT > CT *(j)igne, jiyne.

3. PYen. *? V? r₁ ‘song’ < PT > CT *ir.

4. ? PYen. *dam- in *dam-χux ‘window’ (-χux ‘hole’) < PT > CT *dām ‘wall’.

5. PYen. *KVlpV ‘ladle’ < PT **kaλbuk > PT *kaλuk > CT *kašuk.

6. PYen. *dəli ‘willow’ < PT > CT *dal.

7. PYen. *χɔpVr ‘foam’ < PT *köp- > PT *köpük ‘foam’, CT *köpir- ‘to foam’.

8. ? PYen. *bət ‘Salmo lenoc’ < CT *biňit.

b) Words borrowed not later than in the 12th cent. A.D. (Only Ket-Yug sub-branch):

1. Ket-Yug *palgV ‘ruff’ < PT *bālik ‘fish’.

2. Ket-Yug *? īGV- ‘to sharpen’ < PT *hējke-.

3. Ket-Yug *?u?ž- ‘waist’ < PT *ūča.

4. Ket-Yug *si? id ‘strap’ < PT *sid- ‘lace’.

5. Ket-Yug *so?/G/χom ‘an arrow with a blunt arrowhead’ < CT *sokom/n ‘a whistling arrowhead’

6. Ket-Yug *do? s ‘idol; spirit’ < PT *Tōr ‘root; origin, ancestors’.

c) Probable borrowings from PYen to PT:

1. PT *gejik ‘wild, game’ (no Altaic etymology) < PYen. *gə?j ‘hunt; wild animal’.

4.1. Phonetic peculiarities of Proto-Turk – Proto-Yenissean adaptation: only consonants

PT *ř, *λ > PYen *r, *l,

CT *z > PKott s

PT *d- > PYen *d-

5.0. The contacts of Proto-Turkic with Proto-Ob'-Ugrian⁵

a) Loans from Proto-Ob'-Ugrian to the Proto-Turkic:

1. PT *kundur ‘beaver’ < POU *kuntz-l' < PUG *kuntz ‘beaver’.

2. PT *Kutan ‘heron, pelican’ < POU *kotij < PUG *kottVjV ‘swan’.

3. PT *injir ‘pack saddle’ < *injri < ΠΟΥ *näyrä < ΠΥГ. *närkV ‘saddle’.

b) Loans from Proto-Turkic to the Proto-Ob'-Ugrian:

1. POU *ūrij ‘suitable, skill’ < PT (CT) *ūř ‘master’.

2. POU *tarma ‘god’ < PT *Tajrii ‘god’?

3. POU *wūjma ‘skein of wool’ < PT *ojma ‘felt’

4. POU *perya ‘pipe, piped stem’ < PT *burgu ‘pipe, hunter’s call; piped stem’.

5. (?) POU *pekta ‘dung, excrements’ < PT *bok ‘dirt, dung’.

6. (?) POU *qer-sj ‘without snow (about autumn)’ < PT *Kiār-siř ‘without snow’.

6.0. Proto-Turkic-Mongolic contacts

a) The reconstructed PMo state (the state of Mongolic system in the time of the first disintegration of Mongol family) is dated, by means of glottochronology, after the 6th cent. A.D.; the Common Mongolic Chinese borrowings cannot be dated earlier than Middle Chinese, e.g. the 6th -7th cent. A.D.

b) The reconstructed PT state (in the time of disintegration of Common Turkic and Bulgar): ~ 1th cent. B.C. glottochronologically; PT Chinese borrowings show that it is Western and Eastern Han (3th cent. B.C.-3th cent. A.D.)

c) Turkic loans, that can be reconstructed for Proto-Mongolic, are well-known and numerous, among them many Chinese loans in Proto-Turkic are found.

⁴ The Proto-Yenissean reconstructions are taken from [21]

⁵ The Proto-Ob'-Ugrian reconstructions see in [22, 23].

Chinese loans in Proto-Turkic are dated before the third century A.D.; that is terminus post quem.

Terminus propter quem for the Proto-Mongolic state is the sixth and seventh century A.D.

d) Borrowings from Old Turkic in Proto-Mongolic:

- T. **altun* ‘gold’ (< OCh.) > PMo **altan*,
- T. **Temür* ‘iron’ (< OCh.) > PMo **temür*,
- T. *(a)*laču-k* ‘cottage, small yurt’ (< OCh.) >

PMo **alačug*,

- T. **Turma* ‘radish’ (< OCh.) > PMo **turma*

- T. **biti-* ‘write’ (< OCh.) > PMo **bici-*

T. **Kojn* ‘sheep’ > PMo *qoni(n)*, PT < PA **giabo(nV)* ‘ungulate female’ (PMo **gewü-n* ‘mare’, PTM **gibu-* ‘roe’)

T. **ornu* (3 P. from **orun* ‘place, residence’, Cf. in Hsiung-nu language 龍 WH *roy*) with “Kyrkyz” cluster development (*rn* > *rd*) > PMo **ordo(n)* (MMo *ordo* ‘palace’, *ordos* ‘Khan residence’ SH, Kitan 幣魯朵 *wò-lu-duo* ‘palace wards’, MChin reading ۋات-lo-twâ).

e) Exemples of possible loans from Proto-Turkic in Early Proto-Mongolic (before the 3th cent. A.D.)

PT **ařig* ‘fang’ > PMo *ariya* ‘fang’ by PMo *aral* ‘fang’, *ara-tai* ‘predator’ < PA **aři*;

PT **bōr* ‘grey’ > PMo **boro* ‘grey’ by PMo **buyurul* ‘grey-haired’ < PA **bagur-*.

f) Turkic loans in Tabgach (the 4th-5th A.D.)⁶

比德填 *pjíj tāk cín* ‘scribe’ < PT **bitig* ‘letter’

樸大填 *bōk dhāj cín* ‘cloakroom servant’ < PT

(?) **bog-taj* ‘bag with clothes’

可薄填 *khā bāk cín* ‘warden’ < PT **kapog* ‘door’

咸填 *giōm cín* ‘post station employee’ < PT ***jam* ‘post station’

g) A Mongolic loan in Hsiung-nu language?

犀毗 *slāj b(h)jəj* ‘buckle’ = МОНГ. **silbi* ‘buttonhole’

h) Examples of borrowing from Early Mongol in Common Turkic

CT **ordo* ‘khan residence; army’ < NMo **ordo(n)*

CT **talpi-* ‘to dangle, swing’: < PMo **dalba-* ‘to shake’;

CT **dōrug* ‘bay (horse coat)’ ? < PMo **dorugun* ‘badger’, Cf. Tu *bulan* ‘dun’ < “elk”, *jigren* ‘chestnut’ < “gazelle”, Mo *xal'uun* ‘dun with black mane’ < “otter”;

CT *aksum* ‘fury, tumult’ < PMo **agsum* ‘tumult’, < Mong **agsa-* ‘to writhe in convulsions; brawl’

CT **tōr* ‘net’ < PMo **towr* (cf. PT **duřak* ‘net’, TM **turku-* ‘to be entrapped’).

7.0. The resulting table of Proto-Turkic language contacts.

Contact language	to Proto-Turkic	from Proto-Turkic
Late Old Chinese	16-19 borrowings from “cultural” areas. T > *D, Th > *T, TS > *j- L > *l / _A, *λ_E iē, ē > *e ə, āi > *ä	18 borrowings in Han epoch, 14 borrowings later, generally exotisms (but “pony” and “camel” are found in Modern Chinese) *D > T, *T > Th *l, *λ > l, lh (earlier) ? *λ > l / s (later)
An East Middle Iranian language (early Saka? Proto-Saka-Wakhi?)	11 borrowings from “cultural” areas; Cf. considerable number of Iranian words among “Hsiung-nu” lexemes. No good points for PT reconstruction (but cf.: Iranian *d- > OChinese t-; Iranian *t- > OChinese th-)	Not clear (in general, the Saka-Wakhi cognates are now not collected). Cf. a number of later Turkic words in Khotanese Saka
Proto-Tocharian	6 (unreliable); 2 probable Tocharian loans in Hsiung-nu language	5 (unreliable); Cf. a number of later Turkic loans in Tocharian B)
Proto-Samodic	5 (?)	15–21, generally “cultural” *ř, *λ > *r, *l, later *ř > s PT *j- > PS *j-. PT *h- > PT *o-
Proto-Yenissean	1?	8–15, “cultural” *ř, *λ > *r, *l, Later *ř > s *d- > *d-
Proto-Ob-Ugrian	3 (“trade”)	6 (“cultural”) ~ *ř > *r
Proto-Nivkh	?	4 (“trade”?)
Tabgach (Mongolic)	Not clear	4 (one remains in Modern Mongolic languages)

⁶ See [24]; [2].

8.3. Reflexes of Bulgar vowels in loanwords⁷

8.0. Borrowings from Proto-Bulgar and the early Bulgar languages in Hungarian and Slavic: the case of Proto-Turkic vowel system.

8.1. Bulgar loanwords in Hungarian can be divided into two groups:

a) In the first group the assumed appearance of the Turkic prototypes is very close to the Proto-Turkic stage (excluding the "Bulgar palatalization": the change $*s-$ > $\check{s}-$ before $*i$, $*\ddot{i}$, $*iV$). The primary vowel length is preserved; the "Chuvash" shifts are not observed. This group should be considered among "the Pre-Conquest Layer" ([25: 752]) – these were the loans on the territory of the Volga-Kama (or, as A.Róna-Tas now prefers to believe [26: 436-438], the Don-Kuban) area (the fifth and seventh centuries A.D.);

b) In the second group the prototypes appear to be extremely similar to the prototypes of Danube Bulgar loanwords in the South Slavic languages. This group are, apparently, the loans from the Danube Bulgar, which were acquired on the territory near present-day Hungary; the adaptation rules for their phonetics in Hungarian are almost identical to the adaptation rules of early Slavic loans, which appeared in Hungarian in the time period "chronologically close to the Hungarian conquest of the Lower Danube region (895-900 A.D.)" – see [27: 422].

8.2. Also two categories of Bulgar loanwords in Slavic can be distinguished: a) Words borrowed from the language of Danube Bulgars into the South Slavic languages (not to the Proto-Slavic, as it was considered earlier: it is chronologically impossible). These words incorporated into the Old Church Slavonic language spread into East Slavic and partly also into West Slavic languages with the literary language of the Slavic world. On historical grounds these loans should be dated as early the eighth and ninth centuries A.D. b) Words borrowed from the language of Volga Bulgars into the East Slavic languages (on the Volga trade route) and partly spread on to the West Slavic languages, mostly to Polish. These borrowings should, apparently, be dated later, after the rise of the Volga Bulgar state.

PT	Early Bulgar loans in Hungarian	Late Bul- gar (Da- nube) lo- ans in Hungarian	Late Bulgar (Danube) loans in South Slavic	Late Bulgar (Volgaic) loans in East Slavic	Chuvash
*a	a (å)	a (å)?	o	o	o
*ä	á	á?	a, (?) álC > ѢlC		o
*ä	é, ε	a	o	o	a
*ä	ē/ě	?	a		a
*o	*u > o	a (å)	o	(oyC > vъ-, -u- voC)	
*ö	*ü > u	vá-, -á-	va	va	va-, -u-
*ö	*ü > ö	e (ë), ö	u	u	vъ-, -ü-
*ö	*ü > ü	vé-, -é-	ve, õrC > ъrC	(P)i	va-, - ъva-
*u	*u > o	va-(r)	a, uRC > ъRC		ъ, vъ-(r)
*ü	*ü > u	?			ъ
*ü	*ü > ö	ö, e (ë)	b, (K)ъ	(K)u, (P)i	ə
*ü	*ü > ü	ve-			ə
*i, ī	a, (á >) é	a?	a; ilC > ъlC		ə/ъ
*i	*i > e (ë)	i?	e, ilC > ъlC	ъ	ə/ъ
*i	*i > i	?			ə/ъ
*ä	*i > i	a?	ъ, (K)ъ		і
*e	é, ε	é, ε?	í		і/ə
*ē	ě	ě?	í		a
*ej	*i > i	?			jə-, ja-, -i-
*äj	*i > e (ë)	?		i	jə-, ja-, -i-

⁷ See the full analysis in [28; 29].

Bulgar languages: the vowels of 1st syllable

Chuvash	Bulgar loans in EastSlavic	Bulgar loans in SouthSlavic	Bulgar loans in Hungarian – B	Bulgar loans in Hungarian – A	Proto-Turkic
о sot- 'to sell' < PT *sat-	Хопужьское море 'Black Sea': PT *kapug	ковъчегъ: PT *Kapurčak тоягъ, тояга: PT *dajak 'staff'	koporsó 'coffin': PT *Kapurčak	karó 'nail': PT*Kař-guk gyapjú 'wool': PT *japagu	*a
о solъ 'raft', poržin 'silc brocade'		санъ 'rank': PT *sān самъчии 'steward': PBulg. *sām 'counting' брачина 'silc brocade': PT *bārčun	bársony 'brocade': PT *bárčun szám 'numeral': PT *sām < *sā(j)- 'to count'	sátor 'tent': PT *čātūr szál 'raft': PT *sāl	ā
vъ-, -o- рогъ 'badger', въгъ 'thief'	воръ: PT *ogry тволага 'heifer': PT *dog-lak	хоржы 'banner': PT *horu·gu сокачин 'butcher': PT *sok- 'slaughter' + -*či	apró 'small, fine': PT *opra-k	kos: PT *Koč 'ram' borz: PT *bors-uk 'badger' or, orv: PT *ogry 'thief'	*o
va-, -o-, -ъва- тора, тъвара 'cheese' valak 'желоб' < PT *ölk	ватара 'tent': PT *ötag	кваръ 'harm': PT *Kōr 'harm, damage' тварогъ 'cheese': PT *tōrak	váj- 'to row': PT *öjkár 'harm': PT *Kōr 'harm, damage'	turó 'cheese': PT *tōrak	*ō
vъ-, -u-/ü- рүре 'kidneys' въдъгъ 'bull, ox'		боубрегъ: PT *bögrek > *bövrek 'kidneys' коурѣлькъ 'form, ap- pearance': PT *körüglük 'appearance'	csepű (ö, ē) 'oacum': PT *čöp-ik	ökör 'bull': PT *hökür seprő (ö, ē) 'yeast, sediment': PT *čöpre-g	*ö
va, -ъва- къвак 'blue', vagъ 'clearing, glade' < PT *ölk-	бирюкъ 'lone wolf': PT *bōrū-k	верига 'fetters': PT *örlé-k	ver- 'plait': PT *örlé-k, dial. vék 'ice- hole': PT *ölk- kék (ë) 'blue': PT *gōk	szün-ik- 'to faint': PT *sōn- 'disappear, fade'	*ö
ъ хъгъм 'soot' въгъ 'polypore'	трунове "nobles of Volga Bulgars", *тъгунъ: OT tudun, MK tuđun	сасыгъ 'crucible': PT *sus- 'to scoop', MK susiq 'bucket' тлъпигъ 'waterskin': PT *tulup: кърчи 'smith': PT *kurč "steel"+ -či	var 'scab, furuncle': PT *ur 'wart, tu- mour'	korom 'soot': PT *Kurum bodor 'curly': PT *budra	*u
ръз 'fog'				búsz 'fog': PT *būs	*ü
ə pədər- 'twist'	коуригъ 'best man': PT *güdegü пирогъ 'cake': PT *büräk	кънига 'book': PT *kün-ig къркыга 'litter': PT *kürk 'fur, cover' тьма '10000': PT *tümen	dél (ö) 'South, noon': PT *düλ ?	pödör- 'twist': PT *püt-ir- 'plait, spin' tömény 'many': PT *tümen '10000' könyv 'book': PT *kün-ig	*ü
ə/i śilə 'be angry'				gyül-öl 'be angry': PT *jüł-	*ü
ə/ъ xərxı 'kite'		шаръ 'dye': PT*sır крагуи: PT *kirguj 'kite' (il: тълмачъ 'interpreter': PT *tilmač)	karvaly 'kestrel': PT *kirguj 'kite, fal- con'	gyalu 'plane': PT *jiłku	*i
ə/ъ čər-pušči 'knie'				(térd 'knie': Bulg. (?) *dī·ř ~ *dījr < PT *dūjř (?)	*i
ə/ъ śъrga 'nit' śъbъr 'broom'	жынчугъ "pearl": PT *jinčü-k	бъльчугъ 'fetters': PT *bile-čuk тетегъ 'litter': PT *ditek	(kis 'little, small': PT *kičü-g bilincs 'fetters':	serke: PT *sirke 'nit' seper, sörpör 'broom': PT *sipür- 'sweep'	*i

Chuvash	Bulgar loans in EastSlavic	Bulgar loans in SouthSlavic	Bulgar loans in Hungarian – B	Bulgar loans in Hungarian – A	Proto-Turkic
		(il: бльхъчии 'smith, joiner': PT *bilgüči)	ПТ*bilen-čüg?)	bölcs 'wise' <*belücs: PT *bilgüči	
ə/ъ šъl 'tooth'				süllő 'zander': PT *sīλ-leg	*ī
í tila 'brake', sīsna 'pig', īrri 'buttermilk', tīrь 'grain'	(юрага: PT *agur-ak 'buttermilk')	чыпагъ 'bag': PT *čap-gu 'pocket, lap' дыхътърь 'pillow': PT *jat-ku-r	dara 'grain': PT *dari-	disznó 'pig': PT *jas-na-tiló 'brake': PT *tälkü 'brake' író 'buttermilk': PT *agur-ak	*ä
a sagъ 'seat' < PT *säkü śarъ 'army': PT *čärig	ковъръ: PT *Käbiř 'carpet'	ковригъ 'loaf of bread': PT *gäbrek огаръ 'trackhound': PT *äker	csavar- 'to wind': PT *čäbir-agár 'trackhound': PT *äker	gyermek (ε) 'jung': PT *järmik bélyeg (ë) 'sign, brand': PT *bäl-gö	*ä
a kap 'form, image'		капъ 'idol': PT *gāp		kép 'appearance, portrait': ПТ*gāp 'form, exemple, image'	*ä
i(=e)/ə śil 'wind': PT *je·l tēgər 'зеркало'		тикърь 'mirror': PT *tékör 'disc' чиготъ 'noble': PT *jegotit	szél (ε) 'ветер': PT *je·l szer (ë) 'место': PT *jer (tükör 'mirror': ПТ*tékör)	teker- (ë) 'to wind': PT *tekör-e-gyenge (ë) 'слабый': PT *jen-gül	*e
a kaś 'evening': PT *gē·č-paru 'gift': PT *bē·r-		биръ 'tax': PT *bēr-	bér (ë) 'fee': PT *bēr-	késik (ë) 'be late': PT *gēč-	*ê
i sik- 'jump'	сигать 'jump'?			szök-ik- (ë) 'run': PT *sājk- 'jump'	*aj
i jəGər 'tw eens'				iker 'tw eens': PT *ejkiř, gyümölcs, OHung gemils- (ë): PT *jē-jmīλč	*ej

8.4. The phonetic peculiarities of Proto-Bulgar / Early Bulgar > Hungarian and Early Bulgar > Slavic adaptation: vowels.

Proto-Turkic vowel length was relevant in Proto-Bulgar and Danube Bulgar;

The Proto-Turkic diphthongue *ia has specific reflexes in Proto-Bulgar, Danube Bulgar, Volga Bulgar inscriptions and Chuvash;

The reflexes of *ä and *e can be distinguished from reflexes of *a and *ä in Proto-Bulgar, Danube Bulgar and Chuvash.

[Slavic adapted Danube Bulgar a (< *a, ä) > o, īa (< *ä, īä) > a,

Old Hungarian adapted Slavic *a [ä] > ä, *o [ö] > īä

Old Hungarian adapted Danube Bulgar *ö > ä

Thus, during the period under study, Slavic did not lose the difference in quantity of *a [ä] < PIE *ä, *ö and *o [ö] < PIE *ä, *ö].

Consequently, all early contact data reaffirm the "Altaic-oriented" reconstruction of Proto-Turkic.

Abbreviations

- CT – Common Turkic
- LOCh – Late Old Chinese
- MChin – Middle Chinese
- MIran. =MIr. – Middle Iranian
- MMo – Middle Mongolian
- NMo – Northern Mongolian
- OCh – Old Chinese
- OInd. – Old Indian
- OT – Old Turkic
- OUIg. – Old Uigur
- PA – Proto-Altaic

PIE – Proto-Indo-European
 PIran. – Proto-Iranian
 PMong = PMo – Proto-Mongolian
 POU – Proto-Ob-Ugric
 PSam. – Proto-Samodic
 PSSam. – Proto-South-Samodic
 PT – Proto-Turkic
 PYen. – Proto-Yenissean

References

1. Sravnitel'no-istoricheskaya grammatika tyurkskikh yazykov. Pratyurkskiy yazyk-osnova. Kartina mira pratyurka. M.: Nauka, 2006. 912 s. (in Russian).
2. Dybo A.V. Lingvisticheskie kontakty rannikh tyurkov. Leksicheskiy fond. Pratyurkskiy period. M.: "Vostochnaya literature", 2007. 223 s. (in Russian).
3. Starostin S.A. Rekonstruktsiya drevnekitayskoy fonolo-gicheskoy sistemy. M.: "Vostochnaya literature", 1989. 725 s. (in Russian).
4. Baxter W.H. A Handbook of Old Chinese Phonology. Berlin – New York: Mouton de Gruyter, 1992. 922 p. (in English).
5. The comparative-historical database of Chinese characters
<http://starling.rinet.ru/databases/bigchina.dbf>
6. Pulleyblanc E.G. The Consonantal System of Old Chinese// AM 9, pt. 1–2, 1962–1963. pp. 239–265. (in English).
7. Materialy po istorii syunnu (po kitayskim istochnikam) / Per. i primech. V.S. Taskina. Vyp. 1, 2. M.: "Vostochnaya literatura", 1973. 178 s. (in Russian).
8. Shiratori K. Sinologische Beiträge zur Geschichte der Türk-Völker. II. Über die Sprache der Hiungnu und der Tunghu-Stämme // Известия Императорской Академии наук. 1902. Сентябрь. Т. XVII, № 2. 1-3. (in German).
9. Bailey H.W. Dictionary of Khotan Saka. Cambridge etc., 1979. 559 p. (in English).
10. Abaev V.I. Istoriko-etimologicheskiy slovar' osetinskogo yazyka. T. I–V. M.; L.: Nauka, 1958–1995. 1800 s. (in Russian).
11. Gharib B. Sogdian Dictionary. Tehran, 1995. 644 p. (in English).
12. Bartholomae Chr. Altiranisches Wörterbuch. Strassburg 1904; Berlin, 1961. 2012 p. (in German).
13. Dybo A.V. Material'nyy byt rannikh tyurok. Zhilishche// Prirodnoe okruzhenie i material'naya kul'tura pratyurkskikh narodov. M., 2008. s. 219–272. (in Russian).
14. Róna-Tas A. Tocharische Elemente in den altaischen Sprachen?// Sprache, Geschichte und Kultur der alten Völker: Protokollband der XII. Tagung der PIAC 1961 in Berlin. Berlin. pp. 499–504. (in German).
15. Adams D.Q. A Dictionary of Tocharian. Amsterdam; Atlanta, 1999. 964 p. (in English).
16. Reinhart J. Die tocharischen Entlehnungen im Altaischen und die Chronologie der tocharischen Lautgesetze// Tocharian and Indo-European Studies. Supplementary series. Vol. 4. B., 1990. pp. 219–272. (in German).
17. Dybo A.V. Turco-Tocharica and Turco-Sakica Renewed// Proceedings of the 45th Meeting of the PIAC (Budapest, 2002). Budapest, 2003. pp. 87–96. (in English).
18. Helimski E. Samoyedic loans in Turkic: Check-list of etymologies// Laut- and Wortgeschichte der Turksprachen. Hrsg. von B.Kellner-Heinkele und M.Stachowski. (Turcologica 26). Wiesbaden, 1995. pp. 75–95. (in English).
19. Janhunen J. Samojedischer Wortschatz. Gemeinsamojedishe Etymologien. Helsinki, 1977. 185 p. (in German).
20. Helimski E. Die Matorische Sprache. Szeged, 1997. 477 p. (in German).
21. Starostin S.A. Sravnitel'nyy slovar' eniseyskikh yazykov// Ketskiy sbornik. M.: Nauka, 1995. S.176–315. (in Russian).
22. Honti L. Geschichte des Obugrischen Vokalismus der ersten Silbe. Budapest, 1982. 227 p. (in German).
23. Honti L. Az Obi-Ugor Konsonantizmus Története. Szeged: Studia Uralo-altaica, 1999. 152 p. (in German).
24. Ligeti L. Tabgachskiy yazyk – dialekt syan'bysiogo// Narody Azii i Afriki. Vyp. 1. M., 1969. S. 107–117. (in Russian).
25. Róna-Tas A. Turkic Influence on the Uralic Languages// The Uralic Languages. Leiden: Brill, 1988. pp. 742–780. (in English).
26. Róna-Tas A. Néhány megjegyzés faneveinkről (Bükk, dió, gyertyán, gyümölcsény, gyürüfa, éger, kőris, mogyoró, tölgy). // Budapest. Magyar Nyelv 2005. pp. 419–438. (in Hungarian).
27. Khelimskiy E.A. Vengerskiy yazyk kak istochnik dlya praslavyanskoy rekonstruktii i rekonstruktii slavyanskogo yazyka v Pannonii. // Komparativistika, uralistika. M.: "Yazyki russkoy kul'tury", 2000. S. 416–432. (in Russian).
28. Dybo A.V. Vokalizm rannetyurkskikh zaimstvovanii v vengerskom // In Gedenk von E. A. Helimsky. Finnisch-Ugrische Mitteilungen Band 32/33, 2010. pp. 83–132. (in Russian).
29. Dybo A. Bulgars and Slavs: phonetic features in early loanwords. // Studies on the Turkic World. Festschrift in honour of Stanislaw Stachowski edited by E. Macczak-Wohlfeld and B. Podolak, Kraków 2010. pp. 21–40. (in English).

БОРЫНГЫ ТӨРКИ КОНТАКТЛАР ҺӘМ БАБАТӨРКИ ЧОРНЫ РЕКОНСТРУКЦИЯЛӘҮ МӘССӘЛӘЛӘРЕ

Анна Владимировна Дыбо,
РФА Тел белеме институты,
125009, Россия, Мәскәү ш., 3. Кисловский ур. 1,
adybo@mail.ru

Мәкаләдә бабатөрки һәм гомумтөрки чорларда төрки телләргә һәм төрки телләрдән башка телләргә кергән алымаларны өйрәнү нәтижәләреbez китерелә. Алымаларны төркемләүдә аларның төрки телләренең төрле этник төркемнәрендә кулланылуы һәм борынгы истәлекләрдә теркәлүе нигез итеп алына. Мондый төр тикшеренүләр бабатөрки реконструкцияләрне торғызуға кагылышлы мәсъәләләргә ёстамәләр, төзәтмәләр кертергә мөмкинлек бирә.

Төп төшенчәләр: бабатөрки чор, гомумтөрки чор, реконструкцияләү, алымалар.

РАННИЕ КОНТАКТЫ ТЮРКОВ И ПРОБЛЕМЫ ПРАТЮРКСКОЙ РЕКОНСТРУКЦИИ

Анна Владимировна Дыбо,
Институт языкоznания РАН,
125009, Россия, г. Москва, Б. Кисловский пер. 1,
adybo@mail.ru

В статье представлены результаты наших исследований по тем заимствованиям в тюркские языки и из тюркских языков, которые могут считаться заимствованными в течение пратюркского и общетюркского периодов. Классификация заимствований основана на встречаемости этих слов в различных группах тюркских языков и в древнетюркских текстах. Оказывается, что эти данные имеют значение для принятия или отклонения ряда решений разрабатываемой пратюркской реконструкции.

Ключевые слова: пратюркский период, общетюркский период, реконструкция, заимствования.