2018, Т. 160, кн. 2 С. 243–249 ISSN 2541-7746 (Print) ISSN 2500-2198 (Online)

UDK 517.983:517.986

ON AN ANALOG OF THE M.G. KREIN THEOREM FOR MEASURABLE OPERATORS

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Abstract

Let \mathcal{M} be a von Neumann algebra of operators on a Hilbert space \mathcal{H} and τ be a faithful normal semifinite trace on \mathcal{M} . Let $\mu_t(T)$, t>0, be a rearrangement of a τ -measurable operator T. Let us consider a τ -measurable operator A, such that $\mu_t(A)>0$ for all t>0 and assume that $\mu_{2t}(A)/\mu_t(A)\to 1$ as $t\to\infty$. Let a τ -compact operator S be so that the operator I+S is right invertible, where I is the unit of \mathcal{M} . Then, for a τ -measurable operator B, such that A=B(I+S), we have $\mu_t(A)/\mu_t(B)\to 1$ as $t\to\infty$. It is an analog of the M.G. Krein theorem (for $\mathcal{M}=\mathcal{B}(\mathcal{H})$ and $\tau=\mathrm{tr}$, theorem 11.4, ch. V [Gohberg I.C., Krein M.G. Introduction to the theory of linear nonselfadjoint operators. In: Translations of Mathematical Monographs. Vol. 18. Providence, R.I., Amer. Math. Soc., 1969. 378 p.] for τ -measurable operators.

Keywords: Hilbert space, von Neumann algebra, normal trace, τ -measurable operator, distribution function, rearrangement, τ -compact operator

Introduction

Let \mathcal{M} be a von Neumann algebra of operators on a Hilbert space \mathcal{H} and τ be a faithful normal semifinite trace on \mathcal{M} . In theorem 3.5, we prove an analog of the M.G. Krein theorem (for $\mathcal{M} = \mathcal{B}(\mathcal{H})$ and $\tau = \text{tr}$, theorem 11.4, ch. V, [1]) for τ -measurable operators. We also describe asymptotics of the generalized singular numbers for a product of almost commuting τ -measurable operators.

1. Notation, definitions, and preliminaries

Let \mathcal{M} be a von Neumann algebra of operators on a Hilbert space \mathcal{H} . Let $\mathcal{M}^{\operatorname{pr}}$ be the lattice of projections in \mathcal{M} . Let I be the unit of \mathcal{M} . Let $P^{\perp} = I - P$ for $P \in \mathcal{M}^{\operatorname{pr}}$. Let \mathcal{M}^+ be the cone of positive elements in \mathcal{M} .

A mapping $\varphi: \mathcal{M}^+ \to [0, +\infty]$ is called a trace, if $\varphi(X + Y) = \varphi(X) + \varphi(Y)$, $\varphi(\lambda X) = \lambda \varphi(X)$ for all $X, Y \in \mathcal{M}^+$, $\lambda \geq 0$ (moreover, $0 \cdot (+\infty) \equiv 0$) and $\varphi(Z^*Z) = \varphi(ZZ^*)$ for all $Z \in \mathcal{M}$. A trace φ is called as follows: faithful if $\varphi(X) > 0$ for all $X \in \mathcal{M}^+$, $X \neq 0$; finite if $\varphi(X) < +\infty$ for all $X \in \mathcal{M}^+$; semifinite if $\varphi(X) = \sup\{\varphi(Y): Y \in \mathcal{M}^+, Y \leq X, \varphi(Y) < +\infty\}$ for every $X \in \mathcal{M}^+$; normal if $X_i \nearrow X$ $(X_i, X \in \mathcal{M}^+) \Rightarrow \varphi(X) = \sup \varphi(X_i)$.

An operator on \mathcal{H} (not necessarily bounded or densely defined) is said to be affiliated with a von Neumann algebra \mathcal{M} if it commutes with any unitary operator from the commutant \mathcal{M}' of the algebra \mathcal{M} . A self-adjoint operator is affiliated with \mathcal{M} if and only if all the projections from its spectral decomposition of unity belong to \mathcal{M} .

Let τ be a faithful normal semifinite trace on \mathcal{M} . A closed operator X of everywhere dense in \mathcal{H} domain $\mathcal{D}(X)$ and affiliated with \mathcal{M} is said to be τ -measurable if there

exists such a projection $P \in \mathcal{M}^{\operatorname{pr}}$ for any $\varepsilon > 0$ that $P\mathcal{H} \subset \mathcal{D}(X)$ and $\tau(P^{\perp}) < \varepsilon$. The set $\widetilde{\mathcal{M}}$ of all τ -measurable operators is a *-algebra under transition to the adjoint operator, multiplication by a scalar, and strong addition and multiplication operations defined as closure of the usual operations [2, 3].

If X is a closed densely defined linear operator affiliated with \mathcal{M} and $|X| = \sqrt{X^*X}$, then the spectral decomposition $P^{|X|}(\cdot)$ is contained in \mathcal{M} and X belongs to $\widetilde{\mathcal{M}}$ if and only if there exists a number $\lambda \in \mathbb{R}$, such that $\tau(P^{|X|}((\lambda, +\infty))) < +\infty$. Let $\mu_t(X)$ denote the rearrangement of the operator $X \in \widetilde{\mathcal{M}}$, i.e., the nonincreasing right continuous function $\mu(X) \colon (0, \infty) \to [0, \infty)$ given by the formula

$$\mu_t(X) = \inf\{\|XP\|: P \in \mathcal{M}^{\text{pr}}, \quad \tau(P^{\perp}) \le t\}, \quad t > 0.$$

Then, $\mu_t(X) = \inf\{s \geq 0 : \lambda_s(X) \leq t\}$, where $\lambda_s(X) = \tau(P^{|X|}((s,\infty)))$ is the distribution function of X. The set of τ -compact operators $\widetilde{\mathcal{M}}_0 = \{X \in \widetilde{\mathcal{M}} : \lim_{t \to +\infty} \mu_t(X) = 0\}$ is an ideal in $\widetilde{\mathcal{M}}$ [4].

Lemma 1 (see [4–6]). Let $X, Y \in \widetilde{\mathcal{M}}$. Then

- 1) $\mu_t(X) = \mu_t(|X|) = \mu_t(X^*)$ for all t > 0;
- 2) $\mu_{s+t}(X+Y) \le \mu_s(X) + \mu_t(Y)$ for all s, t > 0;
- 3) $\mu_{s+t}(XY) \leq \mu_s(X)\mu_t(Y)$ for all s, t > 0;
- 4) $\mu_t(|X|^p) = \mu_t(X)^p$ for all p, t > 0.

If $\mathcal{M} = \mathcal{B}(\mathcal{H})$, i.e., the *-algebra of all linear bounded operators on \mathcal{H} , and $\tau = \operatorname{tr}$ is the canonical trace, then $\widetilde{\mathcal{M}}$ coincides with $\mathcal{B}(\mathcal{H})$. In this case, $\widetilde{\mathcal{M}}_0$ is the compact operators ideal on \mathcal{H} and

$$\mu_t(X) = \sum_{n=1}^{\infty} s_n(X) \chi_{[n-1,n)}(t), \quad t > 0,$$

where $\{s_n(X)\}_{n=1}^{+\infty}$ is a sequence of an operator X s-numbers [1]; here, χ_A is the indicator function of a set $A \subset \mathbb{R}$.

2. A generalization of the M.G. Krein theorem for τ -measurable operators

Lemma 2. The following conditions are equivalent for a nonincreasing function $f:(0,\infty)\to(0,\infty)$:

- (i) there exists $\lim_{t\to\infty} \frac{f(at)}{f(t)} = 1$ for some number $0 < a \neq 1$;
- (ii) there exists $\lim_{t\to\infty} \frac{f(bt)}{f(t)} = 1$ for every number b > 0.

Proof. (i) \Rightarrow (ii). We have

$$1 = \lim_{t \to \infty} \frac{f(at)}{f(t)} = \left[\lim_{t \to \infty} \frac{f(at)}{f(t)}\right]^{-1} = \lim_{t \to \infty} \left[\frac{f(at)}{f(t)}\right]^{-1} = \lim_{t \to \infty} \frac{f(t)}{f(at)} = \lim_{u \to \infty} \frac{f(a^{-1}u)}{f(u)}, \quad (1)$$

where u = at for all t > 0. Hence, we assume that a, b > 1.

Case 1: 1 < b < a. Then, we have

$$\frac{f(a^{-1}t)}{f(t)} \ge \frac{f(bt)}{f(t)} \ge \frac{f(at)}{f(t)} \quad \text{for all } t > 0$$

and the lemma follows from (1) and the squeeze theorem.

Case 2: 1 < a < b. Then, for $k \equiv \min \left\{ n \in \mathbb{N} : \frac{b}{a^{n+1}} < a \right\}$ and for all t > 0, we have

$$\frac{f(a^{-1}t)}{f(t)} \ge \frac{f(bt)}{f(t)} = \frac{f(bt)}{f\left(\frac{b}{a}t\right)} \frac{f\left(\frac{b}{a}t\right)}{f\left(\frac{b}{a^2}t\right)} \cdots \frac{f\left(\frac{b}{a^k}t\right)}{f\left(\frac{b}{a^{k+1}}t\right)} \frac{f\left(\frac{b}{a^{k+1}}t\right)}{f(t)} \ge$$

$$\ge \frac{f(bt)}{f\left(\frac{b}{a}t\right)} \frac{f\left(\frac{b}{a}t\right)}{f\left(\frac{b}{a^2}t\right)} \cdots \frac{f\left(\frac{b}{a^k}t\right)}{f\left(\frac{b}{a^{k+1}}t\right)} \frac{f(at)}{f(t)}$$

and the lemma follows from relations (1) and

$$\lim_{t \to \infty} \frac{f(bt)}{f\left(\frac{b}{a}t\right)} = \lim_{t \to \infty} \frac{f\left(\frac{b}{a}t\right)}{f\left(\frac{b}{a^2}t\right)} = \dots = \lim_{t \to \infty} \frac{f\left(\frac{b}{a^k}t\right)}{f\left(\frac{b}{a^{k+1}}t\right)} = 1,$$

combined with theorem on the limit of product of functions and the squeeze theorem. The lemma is proved.

Example 1. 1) The conditions of lemma 2 hold if there exists $\lim_{t\to\infty} f(t) = x > 0$.

2) Let us consider $f(t)=\frac{1}{\log(1+t)}$ for all t>0. Then, there exists $\lim_{t\to\infty}f(t)=x=0$ and the conditions of lemma 2 also hold by the L'Hospital theorem for $\frac{f(2t)}{f(t)}=\frac{\log(1+t)}{\log(1+2t)}=\left\{\frac{\infty}{\infty}\right\}$ as $t\to\infty$. Induction helps us to prove the same result for n-iterated function $f_n(t)=\frac{1}{\log\log\cdots\log(e^{n-1}+t)}$ for all $n\in\mathbb{N}$ and t>0.

3) If functions f,g satisfy the conditions of lemma 2, then, for the functions $f_{p*}(t)=\frac{1}{\log\log\cdots\log(e^{n-1}+t)}$

3) If functions f, g satisfy the conditions of lemma 2, then, for the functions $f_{p*}(t) = f(pt)$, $f_{p+}(t) = f(t+p)$, $\psi_{f,p}(t) = \int_{t}^{t+p} f(u)du$, $f(t^p)$, f^p $(0 , <math>\log(1+f)$, f(t) = f(t+p), f(t) =

$$\frac{f(t+p)}{f(2t+2p)} \le \frac{f(t+p)}{f(2t+p)} = \frac{f_p(t)}{f_p(2t)} \le \frac{f(t+p/2)}{f(2t+p)} \quad \text{for all } t > 0,$$

we can apply the squeeze theorem.

Since $pf(t+p) \le \psi_{f,p}(t) \le pf(t)$, we have for all t > p the estimates

$$\frac{f(3t)}{f(t)} \le \frac{f(2t+p)}{f(t)} = \frac{pf(2t+p)}{p(f(t))} \le \frac{\psi_{f,p}(2t)}{\psi_{f,p}(t)} \le \frac{pf(2t)}{pf(t+p)} = \frac{f(2t)}{f(t+p)} \le 1$$

and are able to apply the squeeze theorem.

We have $\log(1+u) = u + o(u)$ as $u \to 0$ and f(2t) = f(t) + o(f(t)) as $t \to \infty$.

$$\frac{\log(1+f(2t))}{\log(1+f(t))} = \frac{f(2t)+o(f(2t))}{f(t)+o(f(t))} = \frac{f(2t)+o(f(t))}{f(t)+o(f(t))} = 1+o(f(t))$$

as $t \to \infty$. For h = f + g we have o(f(t)) + o(g(t)) = o(h(t)) and

s
$$t \to \infty$$
. For $h = f + g$ we have $o(f(t)) + o(g(t)) = o(h(t))$ and
$$\frac{h(2t)}{h(t)} - 1 = \frac{f(2t) - f(t) + g(2t) - g(t)}{f(t) + g(t)} = \frac{o(f(t)) + o(g(t))}{f(t) + g(t)} = \frac{o(h(t))}{h(t)} = o(1) \quad \text{as} \quad t \to \infty.$$
4) Let us consider f , as in lemma 2, numbers α , $\beta > 0$ and a nonincreasing function

4) Let us consider f, as in lemma 2, numbers $\alpha, \beta > 0$ and a nonincreasing function $g:(0,\infty)\to(0,\infty)$, so that $f(\alpha t)\leq g(t)\leq f(\beta t)$ for all t>0. Then, for the function g, the conditions of lemma 1 also hold.

Lemma 3. Let \mathcal{J} be a left ideal in a unital algebra \mathcal{A} and $S \in \mathcal{J}$ be so that the element I + S is right invertible (i.e., there exists $T \in A$ with (I + S)T = I). Then, T = I + X for some $X \in \mathcal{J}$.

Proof. Since (I+S)T=I, we have $T=I-ST\equiv I+X$ with $X\equiv -ST\in \mathcal{J}$. The lemma is proved.

Let τ be a faithful normal semifinite trace on a von Neumann algebra \mathcal{M} and $\tau(I) = +\infty$.

Proposition 1 (cf. lemma 3). Let an isometry operator $U \in \mathcal{M}$ and a selfadjoint operator $A \in \mathcal{M}$ be so that I + A is invertible in \mathcal{M} . Then, the following conditions are equivalent:

- (i) $U A \in \widetilde{\mathcal{M}}_0$;
- (ii) I A, $I U \in \widetilde{\mathcal{M}}_0$

Proof. (i) \Rightarrow (ii). We have $U^* - A = (U - A)^* \in \widetilde{\mathcal{M}}_0$ and

$$-U^*A + AU = U^*(U - A) - (U^* - A)U \in \widetilde{\mathcal{M}}_0.$$

Therefore, $I - A^2 = (U^* - A)(U + A) - U^*A + AU \in \widetilde{\mathcal{M}}_0$ and $I - A = (I - A^2)(I + A)^{-1} \in \mathbb{R}$ \mathcal{M}_0 . Thus, $I - U = I - A - (U - A) \in \mathcal{M}_0$.

(ii)
$$\Rightarrow$$
 (i). We have $U - A = (I - A) - (I - U) \in \widetilde{\mathcal{M}}_0$. The proposition is proved.

Theorem 1. Let an operator $A \in \widetilde{\mathcal{M}}$ be such that $\mu_t(A) > 0$ for all t > 0 and assume that there exists $\lim_{t \to \infty} \frac{\mu_{2t}(A)}{\mu_t(A)} = 1$. Let an operator $S \in \widetilde{\mathcal{M}}_0$ be so that the operator I+S is right invertible in $\widetilde{\mathcal{M}}$. Then, for an operator $B\in\widetilde{\mathcal{M}}$, such that A=B(I+S), there exists $\lim_{t\to\infty} \frac{\mu_t(A)}{\mu_t(B)} = 1$.

Proof. Let a number $\varepsilon > 0$ be arbitrary and let a number $t_1 > 0$ be such that $\mu_{t/3}(S) < \varepsilon$ for $t \ge t_1$. Then, by items 2) and 3) of lemma 1, we have the following estimates for all $t \geq t_1$:

$$\mu_t(A) = \mu_t(B + BS) \le \mu_{t/3}(B) + \mu_{2t/3}(BS) \le$$

$$\le \mu_{t/3}(B) + \mu_{t/3}(B)\mu_{t/3}(S) < (1 + \varepsilon)\mu_{t/3}(B). \quad (2)$$

Let an operator $T \in \widetilde{\mathcal{M}}$ be such that (I+S)T = I. Then, T = I+X with some $X \in \widetilde{\mathcal{M}}_0$, see lemma 3. Since

$$AT = B(I+S)T = B = A(I+X),$$

for number $t_2 > 0$ with $\mu_{t/3}(X) < \varepsilon$ for $t \ge t_2$, we obtain, analogously to estimates (2), the relation

$$\mu_t(B) < (1+\varepsilon)\mu_{t/3}(A) \quad \text{for all} \quad t \ge t_2.$$
 (3)

Let a number $t_3 > 0$ be such that

$$1 \le \frac{\mu_{t/9}(A)}{\mu_{t/3}(A)} < 1 + \varepsilon \quad \text{for all} \quad t \ge t_3,$$

see lemma 2. Let us put $t_0 = \max\{t_1, t_2, t_3\}$. From (2) and (3) we obtain for all $t > t_0$

$$\mu_t(A) < (1+\varepsilon)\mu_{t/3}(B) < (1+\varepsilon)^2 \mu_{t/9}(A),$$

hence,

$$1 \le \frac{\mu_t(A)}{\mu_{t/3}(A)} < (1+\varepsilon) \frac{\mu_{t/3}(B)}{\mu_{t/3}(A)} < (1+\varepsilon)^2 \frac{\mu_{t/9}(A)}{\mu_{t/3}(A)} < (1+\varepsilon)^3.$$

Therefore,

$$1 < (1+\varepsilon) \frac{\mu_{t/3}(B)}{\mu_{t/3}(A)} < (1+\varepsilon)^3 \text{ for all } t > t_0.$$

The theorem is proved.

Corollary 1. Let an operator $A \in \widetilde{\mathcal{M}}$ be such that $\mu_t(A) > 0$ for all t > 0 and assume that there exists $\lim_{t \to \infty} \frac{\mu_{2t}(A)}{\mu_t(A)} = 1$. Let an operator $S \in \widetilde{\mathcal{M}}_0$ be so that the operator I + S is left invertible in $\widetilde{\mathcal{M}}$. Then, for an operator $B \in \widetilde{\mathcal{M}}$, such that A = (I + S)B, there exists $\lim_{t \to \infty} \frac{\mu_t(A)}{\mu_t(B)} = 1$.

Proof. We have $S^* \in \widetilde{\mathcal{M}}_0$ and since $(XY)^* = Y^*X^*$ for all $X, Y \in \widetilde{\mathcal{M}}$, the operator $I + S^*$ is right invertible in $\widetilde{\mathcal{M}}$. Therefore, $A^* = B^*(I + S^*)$. Then, we apply theorem 1 for the operators A^*, B^*, S^* and recall item 1) of lemma 1. The corollary is proved.

Example 2. Let operators $X,Y\in\widetilde{\mathcal{M}}$ be almost commuting, i.e., the commutator $[X,Y]=XY-YX\in\widetilde{\mathcal{M}}_0$. Let us put K=[X,Y] and let the operator YX possess a right inverse $T\in\widetilde{\mathcal{M}}$. Hence, XY=YX(I+TK). Since the operator YX is right invertible by item 3) of lemma 1, we have $1=\mu_t(I)=\mu_t(YXT)\leq \mu_{t/2}(YX)\mu_{t/2}(T)$ for all t>0. Hence, $\mu_t(YX)>0$ for all t>0. Now, if the operator I+TK possess a right inverse $R\in\widetilde{\mathcal{M}}$ (then XYR=YX(I+TK)R=YX and by item 3) of lemma 1, we have $0<\mu_t(YX)\leq \mu_{t/2}(XY)\mu_{t/2}(R)$ for all t>0; hence, $\mu_t(XY)>0$ for all t>0) and there exists $\lim_{t\to\infty}\frac{\mu_{2t}(XY)}{\mu_t(XY)}=1$, then there exists $\lim_{t\to\infty}\frac{\mu_t(XY)}{\mu_t(YX)}=1$ by theorem 1. For any normal operators $X,Y\in\widetilde{\mathcal{M}}$, we have $\mu_t(XY)=\mu_t(YX)$ for all t>0 [7, corollary 3.6].

Remark 1. In theorem 1 and corollary 1 by item 4) of lemma 1, there exists $\lim_{t\to\infty} \frac{\mu_t(|A|^p)}{\mu_t(|B|^p)} = 1$ for every p>0. For $\mathcal{M}=\mathcal{B}(\mathcal{H})$ and $\tau=\mathrm{tr}$, the condition "there exists $\lim_{t\to\infty} \frac{\mu_{2t}(A)}{\mu_t(A)} = 1$ " also appeared in [8].

Example 3. Let (Ω, ν) be a measure space and \mathcal{M} be the von Neumann algebra of multiplicator operators M_f by functions f from $L_{\infty}(\Omega, \nu)$ on a space $L_2(\Omega, \nu)$. The algebra \mathcal{M} containes no compact operators \Leftrightarrow the measure ν has no atoms [9, theorem 8.4]. Let $\mathcal{M} = L_{\infty}(0, \infty)$ and $\mathcal{H} = L_2(0, \infty)$. Then, for any right continuous nonincreasing function $f: (0, \infty) \to (0, \infty)$, we have $\mu_t(M_f) = f(t)$ for all t > 0, see definition 2.2, ch. II, [10]. Example 1 shows that the set of multiplicator operators M_f , such that there exists $\lim_{t\to\infty} \frac{\mu_{2t}(M_f)}{\mu_t(M_f)} = 1$, is relatively rich.

Acknowledgements. This work was supported by subsidies allocated to Kazan Federal University for the state assignment in the sphere of scientific activities (projects nos. 1.1515.2017/4.6 and 1.9773.2017/8.9).

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УДК 517.983:517.986

Об аналоге теоремы М.Г. Крейна для измеримых операторов

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Аннотация

Пусть алгебра фон Неймана операторов \mathcal{M} действует в гильбертовом пространстве \mathcal{H} и τ – точный нормальный полуконечный след на \mathcal{M} . Пусть $\mu_t(T)$, t>0, – перестановка τ -измеримого оператора T. Пусть τ -измеримый оператор A такой, что $\mu_t(A)>0$ для всех t>0 и пусть $\mu_{2t}(A)/\mu_t(A)\to 1$ при $t\to\infty$. Пусть τ -компактный оператор S такой, что оператор I+S является обратимым справа, где I – единица алгебры \mathcal{M} . Тогда для τ -измеримого оператора B такого, что A=B(I+S), имеем $\mu_t(A)/\mu_t(B)\to 1$ при $t\to\infty$. Это является аналогом теоремы М.Г. Крейна (для $\mathcal{M}=\mathcal{B}(\mathcal{H})$ и $\tau=\mathrm{tr}$ (теорема 11.4, гл. V, [Гохберг И.Ц., Крейн М.Г. Введение в теорию линейных несамосопряженных операторов. – М.: Наука, 1965. – 448 с.]), для τ -измеримых операторов.

Ключевые слова: гильбертово пространство, алгебра фон Неймана, нормальный след, au-измеримый оператор, функция распределения, перестановка, au-компактный оператор

Поступила в редакцию 12.10.17

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For citation: Bikchentaev A.M. On an analog of the M.G. Krein theorem for measurable operators. Uchenye Zapiski Kazanskogo Universiteta. Seriya Fiziko-Matematicheskie Nauki, 2018, vol. 160, no. 2, pp. 243–249.

/ Для цитирования: Bikchentaev A.M. On an analog of the M.G. Krein theorem for measurable operators // Учен. зап. Казан. ун-та. Сер. Физ.-матем. науки. – 2018. – Т. 160, кн. 2. – С. 243–249.