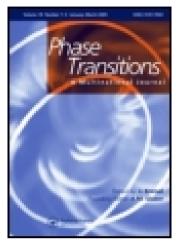
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# Pressure-induced ferroelastic phase transition in LuLiF<sub>4</sub> compound

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The behavior of LuLiF<sub>4</sub> sheelite (I4<sub>1</sub>/a, Z = 4) under hydrostatic pressure was investigated by means of first principles calculations. The ferroelastic phase transition from the tetragonal structure of LuLiF<sub>4</sub> to the fergusonite structure (C12/c1, Z = 4) has been found at 10.5 GPa. It has been determined that this is the second-order phase transition.

**Keywords:** fluorides; high pressure; phase transitions; ab initio calculations

#### 1. Introduction

The interest in fluorite rare-earth compounds (with the scheelite  $CaWO_4$  structure) increases significantly due to their possible application in laser technologies and microelectronics.[1,2] The intrinsic dipole moment materials invoke particular curiosity in a certain temperature range. One of these compounds (namely,  $LuLiF_4$ ) has been recently investigated at high pressures by synchrotron angle-dispersive X-ray powder diffraction in a diamond anvil cell at room temperature.[3] A tricritical phase transition to the fergusonite crystal structure was found at 10.7 GPa, but the type of this phase transition remained unknown. The present article is devoted to searching for the phase transitions in  $LuLiF_4$  at high pressures by the means of density functional theory (DFT) [4,5] and to the identification of its type.

#### 2. Calculations

Two experimentally observed crystal structures of LuLiF<sub>4</sub> with the I4<sub>1</sub>/a (a = b = 5.172588 Å, c = 10.586842 Å, no. 88, Z = 4) and C12/c1 (a = 7.32361 Å, b = 10.578649 Å, c = 5.165849 Å, no. 15, Z = 4) symmetries and two possible crystal structures with the P12/c1 (a = 5.077383 Å, b = 5.237221 Å, c = 5.237368 Å, no. 13, Z = 2) and P2<sub>1</sub>/c LaTaO<sub>4</sub>-type (a = 8.072336 Å, b = 5.393765 Å, c = 7.022196 Å, no. 14, Z = 4) symmetries were investigated by means of *ab initio* calculations. The analogous crystal structure of YLiF<sub>4</sub> with the same symmetries has been studied by *ab initio* calculations, previously.[6] Also the phase transitions from the I4<sub>1</sub>/a to C12/c1 symmetry were investigated in YLiF<sub>4</sub> and YbLiF<sub>4</sub> compounds.[7,8] Grzechnik et al. [9] observed such a type of phase transition in YLiF<sub>4</sub> above 300 K, experimentally. In this work, VASP 5.2 (Vienna Ab-Initio Simulation Package)[10] software package, a part of the MedeA<sup>1</sup> modeling interface, was used to perform first principles DFT calculations. The geometry of

structures was optimized in the pressure range from 0 to 20 GPa with a 2 GPa step until the maximum force dropped below 0.005 eV/Å, whereas the self-consistent field energy convergence criterion was set at  $10^{-6}$  eV.

All calculations were performed in 'non-magnetical' mode (i.e. two electrons in each state). The electronic degrees of freedom were described using the projector-augmented wave method [11] and basis of plane waves as implemented in VASP 5.2. The valence electrons of Lu were considered as 'kept frozen in the core'. The exchange—correlation functional has been approximated by the gradient-corrected form proposed by Perdew—Burke—Ernzerhof.[12] The Dudarev approach [13] was applied within a simplified generalized gradient approximation (GGA)+U scheme. [12] The other calculation parameters were chosen to be the same as in Ref. [14].

### 3. Results

The analysis of the lattice parameters, unit cell volume, order parameter and bulk modulus under pressure has been performed in order to determine the type of the phase transition and to obtain the transition pressure. In Figure 1, the pressure dependencies of the lattice parameters (where  $a_m$ ,  $b_m$  and  $c_m$  are the lattice parameters of monoclinic structure;  $a_0$ ,  $b_0$ ,  $c_0$  are the respective values of the lattice parameters at ambient pressure) reflecting the transformation of the structure from the I4<sub>1</sub>/a to C12/c1 symmetry are shown. Since the C12/c1 symmetry group is in fact a subgroup of I4<sub>1</sub>/a, the structural parameters of these symmetries coincide below 10.5 GPa. One can conclude from Figure 1 that the phase transition occurs at 10.5 GPa, which is in good agreement with the experimental data.[3]

In order to identify the type of the phase transition, the volumes of LuLiF<sub>4</sub> unit cell were calculated for two symmetries for pressure up to 20 GPa. Figure 2 demonstrates the difference of the cell volumes between two symmetries above 10.5 GPa. It is well known that the energy and volume of the system should be changed smoothly at the second-order phase transition, [15] which is accompanied by the changes of the system symmetry as is a

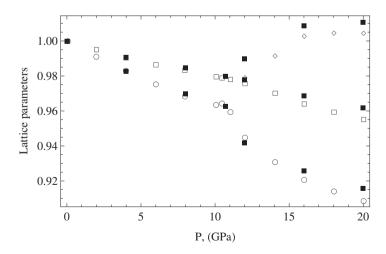


Figure 1. Pressure dependencies of the lattice parameters (LuLiF<sub>4</sub> structure, the C12/c1 symmetry) normalized to the corresponding value at ambient pressure: experimental data [3] – solid square; ab initio calculations: empty diamond  $-a_m/a_0$ , empty square  $-b_m/b_0$ , empty circle  $-c_m/c_0$ .

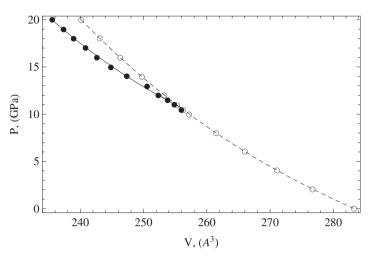


Figure 2. Pressure dependencies of the volumes of LuLiF<sub>4</sub> structure (empty circles: the unit-cell volume of the I4<sub>1</sub>/a LuLiF<sub>4</sub> structure versus the pressure; solid circles: the unit-cell volume of the C12/c1 LuLiF<sub>4</sub> structure versus the pressure, dashed and solid lines: Birch—Murnaghan approximation, respectively).

case of the present study. It follows from Figures 1 and 2 that the second-order phase transition occurs in the analyzed LuLiF<sub>4</sub> compound at high pressures.

The pressure dependence of the order parameter was plotted to find the critical pressure of the phase transition. The second rank strain tensor components have been selected as primary-order parameters.[3] The corresponding tensor function  $\mathbf{e}_m = 1/\sqrt{2}(\mathbf{e}_{xx} - \mathbf{e}_{yy})$  [16] represents a basis function of the single dimensional irreducible representation  $\mathbf{B}_g$  of the 4/m symmetry group, and it can be considered as the ferroelastic order parameter. The spontaneous strains  $\mathbf{e}_{xx}$  and  $\mathbf{e}_{yy}$ , contributing to the order parameter  $\mathbf{e}_m$ , are determined as follows:  $\mathbf{e}_{xx} = (c_m - a_t)/a_t$  and  $\mathbf{e}_{yy} = (a_m/\sqrt{2} - a_t)/a_t$  (where  $a_t$  is the lattice parameter of tetragonal structure,  $a_m$  and  $c_m$  are the lattice parameters of monoclinic structure). The order parameter starts changing smoothly from zero (I4<sub>1</sub>/a symmetry) to a non-zero (C12/c1 symmetry) value at the point 10.5 GPa (see Figure 3).

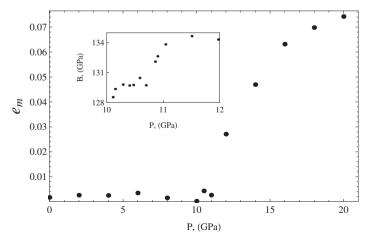


Figure 3. The order parameter of  $LuLiF_4$  structure versus the pressure. Inset shows the pressure dependence of the bulk modulus of  $LuLiF_4$  structure.

This type of the order parameter can be used due to the fact that the fergusonite structural model (space group C12/c1) was originated from the scheelite-type structure (space group I4<sub>1</sub>/a [17]) because of supergroup—subgroup relationships.

The dependence of the bulk modulus B on pressure from 0 to 20 GPa was also investigated. This dependence has been plotted in the range of interest between 10 and 12 GPa to confirm the phase transition in this range. The change in the behavior has been observed from 10.5 to 11 GPa in Figure 3 (inset). The bulk modulus B = 97 GPa, volume  $V = 282.45 \text{ Å}^3$ , and first pressure derivatives of the bulk modulus  $B_0' = 1.74$  for the C12/c1 symmetry were calculated by fitting the pressure–volume compression data with the Birch–Murnaghan equation of state.[18] The set of parameters for the I4<sub>1</sub>/a symmetry at ambient pressure has been obtained previously: B = 83 GPa,  $V = 283.4 \text{ Å}^3$ ,  $B_0' = 4.5$ . [14] It should be noted that the pressure derivatives of the bulk modulus for two symmetries of LuLiF<sub>4</sub> structure are different.

As mentioned above, the analogous YLiF<sub>4</sub> compound was studied by means of the DFT method, previously.[7] It was shown that YLiF<sub>4</sub> undergoes the phase transitions from the scheelite phase (I4<sub>1</sub>/a, Z = 4) to the fergusonite-like phase (I<sub>2</sub>/a, Z = 4) and to the LaTaO<sub>4</sub>-like phase (P2<sub>1</sub>/c, Z = 4). The scheelite-to-fergusonite transition is a second-order one as has been shown in many tungstates, molybdates and vanadates.[19,20] Also theoretical calculations have demonstrated the second-order nature of the phase transition in YLiF<sub>4</sub> and YVO<sub>4</sub> compounds.[6,21] To verify the phase transition to the P2<sub>1</sub>/c and P12/c1 symmetries in LuLiF<sub>4</sub> compound, the pressure dependence of the enthalpy difference between the P2<sub>1</sub>/c and P12/c1 phases with respect to the scheelite phase I4<sub>1</sub>/a has been plotted in Figure 4.

The enthalpy of the C12/c1 phase becomes smaller than the enthalpy of the I4<sub>1</sub>/a above 10.5 GPa, and the phase transition occurs. The enthalpy of structure with the P2<sub>1</sub>/c symmetry is higher than the enthalpy of structure with the I4<sub>1</sub>/a symmetry by 1.5 eV per elementary cell (LuLiF<sub>4</sub>)<sub>2</sub>. The enthalpy of the P12/c1 structure symmetry turned out to be higher than the enthalpy of structure with the I4<sub>1</sub>/a symmetry by 0.9 eV per elementary cell (LuLiF<sub>4</sub>)<sub>2</sub>. Thus, LuLiF<sub>4</sub> structure with the I4<sub>1</sub>/a symmetry is energetically most favorable at pressures below 10.5 GPa.

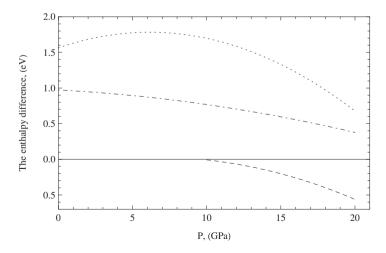


Figure 4. The enthalpy difference with respect to the scheelite phase  $I4_1/a$  for the C12/c1 (dashed line), P12/c1 (dash-dotted line), P2\_1/c (dotted line) phases versus the pressure.

### 4. Conclusion

Thus, in this work the ferroelastic phase transition of the LuLiF<sub>4</sub> scheelite (I4<sub>1</sub>/a, Z = 4) structure under pressure has been found at 10.5 GPa by means of DFT. The ferroelastic phase transition from the tetragonal structure to the fergusonite one (C12/c1, Z = 4) has been identified as the second-order transition from the pressure dependence of the structural parameters, order parameter and cell volume. The absence of the phase transitions to the P2<sub>1</sub>/c and P12/c1 structure symmetries has been shown.

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#### Disclosure statement

No potential conflict of interest was reported by the authors.

#### Note

1. Materials Design, S.A.R.L.

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