

THE TRACE AND INTEGRABLE COMMUTATORS OF THE MEASURABLE OPERATORS AFFILIATED TO A SEMIFINITE VON NEUMANN ALGEBRA

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Abstract—Assume that τ is a faithful normal semifinite trace on a von Neumann algebra \mathcal{M} , I is the unit of \mathcal{M} , $S(\mathcal{M}, \tau)$ is the $*$ -algebra of τ -measurable operators, and $L_1(\mathcal{M}, \tau)$ is the Banach space of τ -integrable operators. We present a new proof of the following generalization of Putnam's theorem (1951): No positive self-commutator $[A^*, A]$ with $A \in S(\mathcal{M}, \tau)$ is invertible in \mathcal{M} . If τ is infinite then no positive self-commutator $[A^*, A]$ with $A \in S(\mathcal{M}, \tau)$ can be of the form $\lambda I + K$, where λ is a nonzero complex number and K is a τ -compact operator. Given $A, B \in S(\mathcal{M}, \tau)$ with $[A, B] \in L_1(\mathcal{M}, \tau)$ we seek for the conditions that $\tau([A, B]) = 0$. If $X \in S(\mathcal{M}, \tau)$ and $Y = Y^3 \in \mathcal{M}$ with $[X, Y] \in L_1(\mathcal{M}, \tau)$ then $\tau([X, Y]) = 0$. If $A^2 = A \in S(\mathcal{M}, \tau)$ and $[A^*, A] \in L_1(\mathcal{M}, \tau)$ then $\tau([A^*, A]) = 0$. If a partial isometry U lies in \mathcal{M} and $U^n = 0$ for some integer $n \geq 2$ then U^{n-1} is a commutator and $U^{n-1} \in L_1(\mathcal{M}, \tau)$ implies that $\tau(U^{n-1}) = 0$.

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1. Introduction

Given a complex Hilbert space \mathcal{H} , denote the identity operator on \mathcal{H} by I and the Schatten–von Neumann ideals in $\mathcal{B}(\mathcal{H})$ by \mathfrak{S}_p , where $0 < p < +\infty$. An operator $X \in \mathcal{B}(\mathcal{H})$ is a *commutator* whenever $X = [A, B] = AB - BA$ for some $A, B \in \mathcal{B}(\mathcal{H})$. If $\dim \mathcal{H} < +\infty$ then the following conditions on $X \in \mathcal{B}(\mathcal{H})$ are equivalent:

- (i) X has the zero diagonal in some basis for \mathcal{H} ;
- (ii) $\operatorname{tr}(X) = 0$;
- (iii) X is a commutator.

The proof of (i) \Leftrightarrow (ii) is in [1, Chapter I, Problem 209] and the proof of (ii) \Leftrightarrow (iii) is in [2] or [3, Problem 230]. See [4, 5] for the further studies regarding (ii) \Leftrightarrow (iii). See [6, 7] for interesting applications of zero-trace matrices. As [8] shows, considering (ii) \Leftrightarrow (iii), we can choose nilpotent matrices A and B in $X = [A, B]$.

If \mathcal{H} is a separable space with $\dim \mathcal{H} = +\infty$ then $X \in \mathcal{B}(\mathcal{H})$ is a commutator if and only if X cannot be expressed as $\lambda I + K$, where $\lambda \in \mathbb{C} \setminus \{0\}$ and $K \in \mathcal{B}(\mathcal{H})$ is a compact operator [9, Theorem 3; 3, Corollary to Problem 230]; in the nonseparable case the picture is similar, but instead of compact operators we have to use a maximal ideal \mathfrak{J} of $\mathcal{B}(\mathcal{H})$; see [9] and [10]. Thus, every compact operator $K \in \mathcal{B}(\mathcal{H})$ is of the form $K = [A, B]$ for some $A, B \in \mathcal{B}(\mathcal{H})$. Choosing K in the class \mathfrak{S}_1 of trace-class operators, we obtain $[A, B] \in \mathfrak{S}_1$ with $\operatorname{tr}([A, B]) \neq 0$. Curiously, for $X \in \mathfrak{S}_1$ we still have (i) \Leftrightarrow (ii); see [11, Corollary 1]. It is shown in [12] that a compact hermitian operator $X \in \mathcal{B}(\mathcal{H})$ is the self-commutator $[A^*, A]$ of a compact operator $A \in \mathcal{B}(\mathcal{H})$ if and only if (i) holds. For hermitian operators $X \in \mathcal{B}(\mathcal{H})$ Theorem 2 of [13] establishes that (i) $\Leftrightarrow \operatorname{tr}(X_+) = \operatorname{tr}(X_-)$, where $X_+ = (|X| + X)/2$ and $X_- = |X| - X_+$. In [14] the results of [13] are applied for $X \in \mathcal{B}(\mathcal{H})$ to show that

$$(i) \Leftrightarrow \operatorname{tr}(\operatorname{Re}(e^{i\theta} X)_+) = \operatorname{tr}(\operatorname{Im}(e^{i\theta} X)_-) \text{ for all } \theta, 0 \leq \theta < 2\pi.$$

[†]) Dedicated to Anatolii Nikolaevich Sherstnev (27.01.1938–25.05.2023).

Consider a von Neumann algebra \mathcal{M} of operators on a Hilbert space \mathcal{H} and a faithful normal semifinite trace τ on \mathcal{M} . Denote the $*$ -algebra of all τ -measurable operators by $S(\mathcal{M}, \tau)$ and the Banach space of all τ -integrable operators by $L_1(\mathcal{M}, \tau)$. Assume that $\tau(I) = +\infty$ and take $A, B \in S(\mathcal{M}, \tau)$. If $B = B^3$ or $B = C + X$, where $C = C^2 \in S(\mathcal{M}, \tau)$ and X is τ -compact then $[A, B]$ cannot be of the form $\lambda I + K$, where $\lambda \in \mathbb{C} \setminus \{0\}$ and K is τ -compact; see [15, Theorem 3] or [16, Theorem 3] and [17, Proposition 4] respectively. The commutators of τ -measurable operators are the values of inner derivations of $S(\mathcal{M}, \tau)$ (see [18–20]).

Given $A, B \in S(\mathcal{M}, \tau)$ and $[A, B] \in L_1(\mathcal{M}, \tau)$, we look for the conditions that $\tau([A, B]) = 0$. If $\tau(I) < +\infty$ then $\tau([A, B]) = 0 \Leftrightarrow \|I + z[A, B]\|_1 \geq \tau(I)$ for all $z \in \mathbb{C}$; see [21, Theorem 4.8]. Some cases with $\tau([A, B]) = 0$ are indicated in [22–24].

If $U \in \mathcal{B}(\mathcal{H})$ is a nonunitary isometry and $(I - UU^*)\mathcal{H}$ is finite-dimensional then the canonical trace of $[U^*, U] = I - UU^*$ is also nonzero. If $U = X + iY$ is the Cartesian decomposition of U with $X, Y \in \mathcal{B}(\mathcal{H})^{\text{sa}}$, then $[U^*, U] = 2i[X, Y]$, i.e., there exist bounded selfadjoint operators whose commutator lies in \mathfrak{S}_1 and has nonzero canonical trace. However, if $X \in \mathcal{B}(\mathcal{H})^{\text{sa}}$ and $Y \in \mathcal{B}(\mathcal{H})$ is a compact operator with $[X, Y] \in \mathfrak{S}_1$ then $\text{tr}([X, Y]) = 0$ by [25, Lemma 1.3]. In [26, Lemma 8] for a normal operator $T \in \mathcal{B}(\mathcal{H})$ and $X \in \mathfrak{S}_2$ with $[T, X] \in \mathfrak{S}_1$ it is shown that $\text{tr}([T, X]) = 0$. In [27] this result is generalized to certain nonnormal operators. In [28, Theorems 4 and 5] for $T \in \mathcal{B}(\mathcal{H})$ and $X \in \mathfrak{S}_2$ with $[T, X] \in \mathfrak{S}_1$ it is shown that $\text{tr}([T, X]) = 0$ under either of the two conditions: (a) T^2 is normal; (b) T^n is normal for some integer $n > 2$ and $[T^*, T] \in \mathfrak{S}_1$.

The main results of this article are obtained in the context of semifinite von Neumann algebras \mathcal{M} , but some of them are new even in the case of the algebra $\mathcal{M} = \mathcal{B}(\mathcal{H})$ endowed with the trace $\tau = \text{tr}$. If $X \in S(\mathcal{M}, \tau)$ and $Y = Y^3 \in \mathcal{M}$ with $[X, Y] \in L_1(\mathcal{M}, \tau)$ then $\tau([X, Y]) = 0$; see Theorem 2. We generalize the classical Putnam Theorem for bounded hyponormal operators [29] (see also [3, Problem 236]) to the case of τ -measurable unbounded hyponormal operators: No positive self-commutator $[A^*, A]$ with $A \in S(\mathcal{M}, \tau)$ is invertible in \mathcal{M} ; see Theorem 6. If $\tau(I) = +\infty$ then no positive self-commutator $[A^*, A]$ with $A \in S(\mathcal{M}, \tau)$ can be of the form $\lambda I + K$, where $\lambda \in \mathbb{C} \setminus \{0\}$ and K is a τ -compact operator; see Theorem 7. If $A^2 = A \in S(\mathcal{M}, \tau)$ and $[A^*, A] \in L_1(\mathcal{M}, \tau)$ then $\tau([A^*, A]) = 0$; see Theorem 8. Given a partial isometry $U \in \mathcal{M}$ with $U^n = 0$ for some integer $n \geq 2$, the operator U^{n-1} is a commutator and $U^{n-1} \in L_1(\mathcal{M}, \tau)$ implies that $\tau(U^{n-1}) = 0$; see Theorem 11. If $U \in L_1(\mathcal{M}, \tau)$ and the projections $P = U^*U$ and $Q = UU^*$ are mutually orthogonal then $U^2 = 0$. Therefore, U is a commutator and $\tau(U) = 0$; see Corollary 13.

2. Definitions and Notation

Denote a von Neumann algebra of operators on a Hilbert space \mathcal{H} by \mathcal{M} ; the lattice of projections ($P = P^2 = P^*$) in \mathcal{M} by \mathcal{M}^{pr} ; and the cone of positive elements of \mathcal{M} by \mathcal{M}^+ . Put $P^\perp = I - P$ for $P \in \mathcal{M}^{\text{pr}}$. An operator $A \in \mathcal{M}$ is *unitary*, whenever $A^*A = AA^* = I$, while A is an *isometry* whenever $A^*A = I$, and A is a *partial isometry* whenever $A^*A \in \mathcal{M}^{\text{pr}}$.

A mapping $\varphi : \mathcal{M}^+ \rightarrow [0, +\infty]$ is a *trace* whenever $\varphi(X + Y) = \varphi(X) + \varphi(Y)$ and $\varphi(\lambda X) = \lambda\varphi(X)$ for all $X, Y \in \mathcal{M}^+$, where $\lambda \geq 0$; furthermore, $0 \cdot (+\infty) \equiv 0$, and $\varphi(Z^*Z) = \varphi(ZZ^*)$ for all $Z \in \mathcal{M}$. A trace φ is

- *faithful* whenever $\varphi(X) > 0$ for all $X \in \mathcal{M}^+$ with $X \neq 0$;
- *normal* whenever $X_i \nearrow X$ with $X_i, X \in \mathcal{M}^+$ implies that $\varphi(X) = \sup \varphi(X_i)$;
- *finite* whenever $\varphi(I) < +\infty$;
- *semifinite* whenever $\varphi(X) = \sup\{\varphi(Y) : Y \in \mathcal{M}^+, Y \leq X, \varphi(Y) < +\infty\}$ for each $X \in \mathcal{M}^+$; see [30, Chapter V, Section 2].

An operator on \mathcal{H} , not necessarily bounded or densely defined, is *affiliated* to a von Neumann algebra \mathcal{M} whenever it commutes with all unitary operators in the commutant \mathcal{M}' of \mathcal{M} . Henceforth τ stands for a faithful normal semifinite trace on \mathcal{M} . A closed operator X affiliated to \mathcal{M} whose domain $\mathcal{D}(X)$ is dense in \mathcal{H} is τ -*measurable* whenever, given $\varepsilon > 0$, there exists $P \in \mathcal{M}^{\text{pr}}$ such that $P\mathcal{H} \subset \mathcal{D}(X)$ and $\tau(P^\perp) < \varepsilon$. The set $S(\mathcal{M}, \tau)$ of all τ -measurable operators is a $*$ -algebra under the taking of adjoint

operators, multiplication by scalars, which is furnished with the strong addition and multiplication obtained as the closure of the ordinary operations [31, Chapter IX]. Given a family $\mathcal{L} \subset S(\mathcal{M}, \tau)$, denote by \mathcal{L}^+ and \mathcal{L}^h the positive and hermitian parts of \mathcal{L} . Denote by \leq the partial order on $S(\mathcal{M}, \tau)^h$ generated by the proper cone $S(\mathcal{M}, \tau)^+$. If $X \in S(\mathcal{M}, \tau)$ and $X = U|X|$ is the polar decomposition of X then $U \in \mathcal{M}$ and $|X| = \sqrt{X^*X} \in S(\mathcal{M}, \tau)^+$. An operator $A \in S(\mathcal{M}, \tau)$ is *hyponormal* whenever $A^*A \geq AA^*$. Recall that the formula $S_P = 2P - I$ establishes a bijection between the sets of idempotents ($P^2 = P$) and symmetries ($S^2 = I$) in $S(\mathcal{M}, \tau)$. Denote the commutator of operators $A, B \in S(\mathcal{M}, \tau)$ by $[A, B] = AB - BA$. The *self-commutator* of $A \in S(\mathcal{M}, \tau)$ is $[A^*, A] = A^*A - AA^*$. Two operators $A, B \in S(\mathcal{M}, \tau)$ *anticommute* provided that $AB = -BA$.

Denote by $\mu(t; X)$ the *function of singular values* of $X \in S(\mathcal{M}, \tau)$, meaning the nonincreasing right-continuous function $\mu(\cdot; X) : (0, +\infty) \rightarrow [0, +\infty)$ defined as

$$\mu(t; X) = \inf\{\|XP\| : P \in \mathcal{M}^{\text{pr}}, \tau(P^\perp) \leq t\}, \quad t > 0.$$

Lemma 1 [32]. *Take $X, Y \in S(\mathcal{M}, \tau)$, $A, B \in \mathcal{M}$, and unitary $U, V \in \mathcal{M}$. Then*

- (i) $\mu(t; X) = \mu(t; |X|) = \mu(t; X^*) = \mu(t; UXV)$ for all $t > 0$;
- (ii) if $|X| \leq |Y|$ then $\mu(t; X) \leq \mu(t; Y)$ for all $t > 0$;
- (iii) $\mu(t; AXB) \leq \|A\| \|B\| \mu(t; X)$ for all $t > 0$;
- (iv) $\mu(s+t; X+Y) \leq \mu(s; X) + \mu(t; Y)$ for all $s, t > 0$;
- (v) $\mu(t; f(|X|)) = f(\mu(t; X))$ for all continuous functions $f : \mathbb{R}^+ \rightarrow \mathbb{R}^+$ with $f(0) = 0$ and $t > 0$.

Denote the Lebesgue measure on \mathbb{R} by m . Given $0 < p < +\infty$, we can define the noncommutative Lebesgue L_p -space associated to (\mathcal{M}, τ) as

$$L_p(\mathcal{M}, \tau) = \{X \in S(\mathcal{M}, \tau) : \mu(\cdot; X) \in L_p(\mathbb{R}^+, m)\}$$

with the F -norm $\|X\|_p = \|\mu(\cdot; X)\|_p$ for $X \in L_p(\mathcal{M}, \tau)$ which is a norm for $1 \leq p < +\infty$. Denote the unique extension of τ to a linear functional on the whole $L_1(\mathcal{M}, \tau)$ by the same letter τ .

If $\mathcal{M} = \mathcal{B}(\mathcal{H})$ and $\tau = \text{tr}$ is the canonical trace then $S(\mathcal{M}, \tau)$ and $S_0(\mathcal{M}, \tau)$ coincide with $\mathcal{B}(\mathcal{H})$ and the ideal \mathfrak{S}_∞ of compact operators on \mathcal{H} respectively. We have

$$\mu(t; X) = \sum_{n=1}^{\infty} s_n(X) \chi_{[n-1, n)}(t), \quad t > 0,$$

where $\{s_n(X)\}_{n=1}^{\infty}$ is the sequence of s -numbers of the compact operator X and χ_A is the indicator of a set $A \subset \mathbb{R}$ [33, Chapter II]. Then the space $L_p(\mathcal{M}, \tau)$ is the Schatten–von Neumann ideal \mathfrak{S}_p for $0 < p < +\infty$.

If \mathcal{M} is abelian, i.e., commutative; then $\mathcal{M} \simeq L^\infty(\Omega, \Sigma, \nu)$ and $\tau(f) = \int_\Omega f d\nu$, where (Ω, Σ, ν) is a localizable measure space, the $*$ -algebra $S(\mathcal{M}, \tau)$ coincides with the algebra of all measurable complex functions f on (Ω, Σ, ν) bounded beyond a set of finite measure. The function $\mu(t; f)$ coincides with a nonincreasing rearrangement of $|f|$; for the properties of rearrangements; see [34]. The algebra \mathcal{M} lacks nonzero compact operators if and only if ν is atomless [35, Theorem 8.4].

3. The Main Results

Lemma 2 [31, Chapter IX, Theorem 2.13]. *If $X \in \mathcal{M}$ and $Y \in L_1(\mathcal{M}, \tau)$ then $XY, YX \in L_1(\mathcal{M}, \tau)$.*

Lemma 3 [36, Theorem 17]. *If $X, Y \in S(\mathcal{M}, \tau)$ and $XY, YX \in L_1(\mathcal{M}, \tau)$ then $\tau(XY) = \tau(YX)$.*

Theorem 1. *If $X \in L_1(\mathcal{M}, \tau)$ and $U \in \mathcal{M}$ is an isometry then $\tau(X) = \tau(UXU^*)$.*

PROOF. STEP 1. Observe that $\mu(t; X) = \mu(t; UXU^*)$ for all $t > 0$. Indeed, $U^*UXU^*U = X$ and

$$\begin{aligned} \mu(t; X) &= \mu(t; U^*UXU^*U) \leq \|U^*\| \|U\| \mu(t; UXU^*) \\ &= \mu(t; UXU^*) \leq \|U\| \|U^*\| \mu(t; X) = \mu(t; X) \end{aligned}$$

for all $t > 0$ by claim (iii) of Lemma 1 and the equalities $\|U^*\| = \|U\| = 1$. For $X \geq 0$ we infer from [37, Proposition 3.9(c)] that

$$\tau(X) = \int_0^{+\infty} \mu(t; X) dt = \int_0^{+\infty} \mu(t; UXU^*) dt = \tau(UXU^*).$$

STEP 2. For $X = X^*$ consider the Jordan decomposition $X = X_+ - X_-$. Then $X_+, X_- \in L_1(\mathcal{M}, \tau)^+$ and, since τ continues linearly to the whole space $L_1(\mathcal{M}, \tau)$, Step 1 yields

$$\tau(X) = \tau(X_+) - \tau(X_-) = \tau(UX_+U^*) - \tau(UX_-U^*) = \tau(UXU^*).$$

STEP 3. If $X \in L_1(\mathcal{M}, \tau)$ is an arbitrary operator and $X = \operatorname{Re} X + i \operatorname{Im} X$ is its Cartesian decomposition, then $\operatorname{Re} X = (X + X^*)/2$ and $\operatorname{Im} X = (X - X^*)/(2i)$ lie in $L_1(\mathcal{M}, \tau)^h$ and, since τ continues linearly to the whole space $L_1(\mathcal{M}, \tau)$, Step 1 yields

$$\tau(X) = \tau(\operatorname{Re} X) + i\tau(\operatorname{Im} X) = \tau(U \cdot \operatorname{Re} X \cdot U^*) + i\tau(U \cdot \operatorname{Im} X \cdot U^*) = \tau(UXU^*). \quad \square$$

Corollary 1. *If $A \in S(\mathcal{M}, \tau)$ and $U \in \mathcal{M}$ is unitary with $A - UAU^* \in L_1(\mathcal{M}, \tau)$ then $U^*AU - A \in L_1(\mathcal{M}, \tau)$ and $\tau(U^*AU - A) = \tau(A - UAU^*)$.*

PROOF. We have $\tau(U^*AU - A) = \tau(U^*(A - UAU^*)U)$. \square

Corollary 2. *If $X \in S(\mathcal{M}, \tau)$ and $U \in \mathcal{M}$ is unitary with $U^2 = -I$ then $X - UXU^* \in L_1(\mathcal{M}, \tau) \Leftrightarrow [X, U] \in L_1(\mathcal{M}, \tau)$ and, furthermore, $\tau(X - UXU^*) = \tau([X, U]) = 0$.*

PROOF. Observe that $U^* = -U$. If $X - UXU^* \in L_1(\mathcal{M}, \tau)$ then

$$[X, U] = (X - UXU^*)U \in L_1(\mathcal{M}, \tau);$$

if $[X, U] \in L_1(\mathcal{M}, \tau)$ then

$$X - UXU^* = [X, U]U^* \in L_1(\mathcal{M}, \tau)$$

by Lemma 2. Under these conditions, Theorem 1 implies that

$$\begin{aligned} \tau(X - UXU^*) &= \tau(X + UXU) = \tau(U(X + UXU)U^*) = -\tau(U(X + UXU)U) \\ &= -\tau(UXU + X) = -\tau(X - UXU^*); \end{aligned}$$

therefore, $\tau(X - UXU^*) = 0$. Similarly,

$$\begin{aligned} \tau(XU - UX) &= \tau(U(XU - UX)U^*) = -\tau(U(XU - UX)U) \\ &= -\tau(-UX + XU) = -\tau(XU - UX), \end{aligned}$$

and so $\tau(XU - UX) = 0$. \square

Corollary 3. *If $U \in \mathcal{M}$ and $A \in S(\mathcal{M}, \tau)$ satisfies $[U^*, A] \in L_1(\mathcal{M}, \tau)$ then for the projection $P = UU^*$ we have*

$$\tau(U^*AU - A) = \tau(PA - UAU^*) = \tau(PAP - UAU^*).$$

PROOF. Lemma 3 with $X = U^*A - AU^*$ and $Y = U$ yields

$$\tau(U^*AU - A) = \tau((U^*A - AU^*)U) = \tau(U(U^*A - AU^*)) = \tau(PA - UAU^*),$$

and then

$$\tau(U^*AU - A) = \tau(U(U^*AU - A)U^*) = \tau(PAP - UAU^*)$$

by Theorem 1. Since $PA - UAU^*$ and $PAP - UAU^*$ lie in $L_1(\mathcal{M}, \tau)$, it follows that $PAP^\perp \in L_1(\mathcal{M}, \tau)$ and $\tau(PAP^\perp) = 0$. \square

Theorem 2. If $X \in S(\mathcal{M}, \tau)$ and $Y = Y^3 \in \mathcal{M}$ with $[X, Y] \in L_1(\mathcal{M}, \tau)$ then $\tau([X, Y]) = 0$.

PROOF. STEP 1. Suppose that $Y = Y^2 \in \mathcal{M}$. Since

$$2YXY - YX - XY = Y[X, Y] - [X, Y]Y \in L_1(\mathcal{M}, \tau),$$

the claim follows from the expansion

$$[X, Y] = Y(2YXY - YX - XY) - (2YXY - YX - XY)Y$$

and Lemma 3 for the pair of Y and $B = 2YXY - YX - XY$.

STEP 2. Suppose that $Y = Y^3 \in \mathcal{M}$ and $Y = P - Q$ for two idempotents P and Q in \mathcal{M} satisfying $PQ = QP = 0$; see [38, Proposition 1]. Then $Y^2 = P + Q$ is an idempotent and

$$[X, P] + [X, Q] = [X, Y^2] = [X, Y]Y + Y[X, Y] \in L_1(\mathcal{M}, \tau)$$

since $[X, P] - [X, Q] = [X, Y] \in L_1(\mathcal{M}, \tau)$ by assumption. The last two relations show that $[X, P], [X, Q] \in L_1(\mathcal{M}, \tau)$, and since τ continues linearly to the whole space $L_1(\mathcal{M}, \tau)$, Step 1 yields

$$\tau([X, Y]) = \tau([X, P]) - \tau([X, Q]) = 0 - 0 = 0. \quad \square$$

Corollary 4. If $X \in S(\mathcal{M}, \tau)$ and $S \in \mathcal{M}$ with $S^2 = I$ then $X - SXS \in L_1(\mathcal{M}, \tau) \Leftrightarrow [X, S] \in L_1(\mathcal{M}, \tau)$ and, furthermore, $\tau(X - SXS) = \tau([X, S]) = 0$.

PROOF. If $X - SXS \in L_1(\mathcal{M}, \tau)$ then $[X, S] = (X - SXS)S \in L_1(\mathcal{M}, \tau)$; if $[X, S] \in L_1(\mathcal{M}, \tau)$ then $X - SXS = [X, S]S \in L_1(\mathcal{M}, \tau)$ by Lemma 2. Under these conditions

$$(XS - SX)S - S(XS - SX) = 2(X - SXS)$$

and Lemma 3 for the pair of $[X, S]$ and S , we have $\tau(X - SXS) = 0$. The equality $\tau([X, S]) = 0$ follows from Theorem 2 because $S^3 = S$. \square

Corollary 5. If $A, B \in S(\mathcal{M}, \tau)^h$ and $C = C^3 \in \mathcal{M}^{sa}$ with $A - BC \in L_1(\mathcal{M}, \tau)$ then $[B, C] \in L_1(\mathcal{M}, \tau)$, while $\tau([B, C]) = 0$ and $\tau(A - BC) \in \mathbb{R}$.

PROOF. We have

$$[B, C] = (A - BC)^* - (A - BC) \in L_1(\mathcal{M}, \tau)$$

and $\tau([B, C]) = 0$ by Theorem 2. Furthermore,

$$\begin{aligned} \tau(A - BC) &= \tau(A - BC + CB - CB) = \tau(A - CB) - \tau([B, C]) \\ &= \tau(A - CB) = \overline{\tau(A - BC)}, \end{aligned}$$

where the bar indicates complex conjugation; thus, $\tau(A - BC) \in \mathbb{R}$. \square

Theorem 3. If $A, B, C \in S(\mathcal{M}, \tau)^h$ and $A - BC \in L_1(\mathcal{M}, \tau)$ then $[B, C] \in L_1(\mathcal{M}, \tau)$. Moreover, if $A - AC \in L_1(\mathcal{M}, \tau)$ then $\tau(A - BC), \tau(A - AC) \in \mathbb{R}$ and $\tau([B, C]) = 0$.

PROOF. We have $[B, C] = (A - BC)^* - (A - BC) \in L_1(\mathcal{M}, \tau)$. Furthermore, if $A - AC \in L_1(\mathcal{M}, \tau)$ then $A - BC = A(I - C) + (A - B)C$ and $(A - B)C \in L_1(\mathcal{M}, \tau)$. Theorem 3.1 of [39] implies that $\tau(A(I - C)), \tau((A - B)C) \in \mathbb{R}$. Therefore, $\tau(A - BC) \in \mathbb{R}$.

Since $\tau(X^*) = \overline{\tau(X)}$ for all $X \in L_1(\mathcal{M}, \tau)$, we have

$$\begin{aligned} \tau([B, C]) &= \tau(A - CB - A + BC) = \tau(A - CB) - \tau(A - BC) \\ &= \overline{\tau(A - BC)} - \tau(A - BC) = 0, \end{aligned}$$

as required. \square

Theorem 4. If $Y, P \in S(\mathcal{M}, \tau)$ with $P^2 = P$ and $X = [Y, P]$, while $S_P = 2P - I$; then

(i) $S_P X = -X S_P$;

(ii) if $X^k, S_P X^k S_P \in L_1(\mathcal{M}, \tau)$ for some odd $k \in \mathbb{N}$ then $\tau(X^k) = \tau(S_P X^k S_P) = 0$;

(iii) if $P = P^*$ then $X^k \in L_1(\mathcal{M}, \tau) \Leftrightarrow S_P X^k S_P \in L_1(\mathcal{M}, \tau)$ and, furthermore, $[[X], P] = 0$.

PROOF. (ii): Assume that $k = 1$. From (i) we infer that $X = -S_P X S_P$, and Lemma 3 for the pair of $S_P X$ and S_P yields

$$\tau(S_P X S_P) = \tau(AB) = \tau(BA) = \tau(X);$$

i.e., $\tau(X) = \tau(-X) = -\tau(X) = 0$. For $k = 2n + 1 \geq 3$ from

$$X^2 = X S_P \cdot S_P X = -S_P X \cdot -X S_P = S_P X^2 S_P$$

we infer that

$$\begin{aligned} X^{2n+1} &= \underbrace{X^2 \cdot X^2 \cdot \dots \cdot X^2}_n \cdot X = \underbrace{S_P X^2 S_P \cdot S_P X^2 S_P \cdot \dots \cdot S_P X^2 S_P}_n \cdot X \\ &= S_P X^{2n} \cdot S_P X = -S_P X^{2n+1} S_P. \end{aligned}$$

By Lemma 3 for the pair of $S_P X^{2n}$ and $X S_P$ we see that

$$\tau(S_P X^{2n+1} S_P) = \tau(AB) = \tau(BA) = \tau(X^{2n+1});$$

i.e., $\tau(X^{2n+1}) = -\tau(X^{2n+1}) = 0$.

(iii): If $P = P^*$ then $X^k \in L_1(\mathcal{M}, \tau) \Leftrightarrow S_P X^k S_P \in L_1(\mathcal{M}, \tau)$ by Lemma 2. Passing to the adjoints, by claim (i) we obtain $X^* S_P = -S_P X^*$ and $S_P X^* S_P = -X^*$. Therefore,

$$|X|^2 = X^* X = -S_P X^* S_P \cdot -S_P X S_P = S_P |X|^2 S_P$$

and $|X|^2 S_P = S_P |X|^2$, and so $|X|^2 P = P |X|^2$. Consequently, $|X| P = P |X|$ by the spectral theorem. \square

Theorem 4.8 of [21] has the following corollary.

Corollary 6. Suppose that $\tau(I) = 1$. Then

(i) under the conditions of Theorem 3 we have $\|I + z[B, C]\|_1 \geq 1$ for all $z \in \mathbb{C}$;

(ii) under the conditions of claim (ii) of Theorem 4 we have

$$\|I + zX^{2n-1}\|_1 \geq 1, \quad \|I + zS_P X^{2n-1} S_P\|_1 \geq 1$$

for all $n \in \mathbb{N}$ and $z \in \mathbb{C}$.

Theorem 5. Suppose that $X, Y \in S(\mathcal{M}, \tau)^h$ and $A = A^3 \in \mathcal{M}^{sa}$. If $AX - YA \in L_1(\mathcal{M}, \tau)$ then $\tau(AX - YA) \in \mathbb{R}$.

PROOF. Take $A = P - Q$, where $P, Q \in \mathcal{M}^{pr}$ with $PQ = QP = 0$; see [38, Proposition 1]. Then $A^2 = P + Q$ is a projection. The operators

$$PXP - PYP = P(AX - YA)P, \quad QXQ - QYQ = -Q(AX - YA)Q$$

lie in $L_1(\mathcal{M}, \tau)^h$ by Lemma 2. The operators

$$QXP + QYP = -Q(AX - YA)P, \quad PXQ + PYQ = (QXP + QYP)^*$$

also lie in $L_1(\mathcal{M}, \tau)$. By Lemma 3 for the pair of $I - A^2$ and $AX - YA$ and for the pair of $2A^2$ and

$$AX - A^2 YA - AX A^2,$$

since τ continues linearly to the whole space $L_1(\mathcal{M}, \tau)$, we obtain

$$\begin{aligned}
\tau(AX - YA) &= \tau(A^2(AX - YA) + (I - A^2)(AX - YA)) \\
&= \tau(A^2(AX - YA)) + \tau((I - A^2)(AX - YA)) \\
&= \tau(A^2(AX - YA)) + \tau((AX - YA)(I - A^2)) \\
&= \tau(2AX - A^2YA - AXA^2) = \tau(2A^3X - A^2YA - A^3XA^2) \\
&= \tau(2A^2(AX - A^2YA - AXA^2)) = \tau((AX - A^2YA - AXA^2)2A^2) \\
&= \tau(AXA^2 - A^2YA) = \tau((P - Q)X(P + Q) - (P + Q)Y(P - Q)) \\
&= \tau(PXP - PYP) + \tau(-QXQ + QYQ) + \tau(PXQ + PYQ) - \tau(QXP + QYP) \in \mathbb{R},
\end{aligned}$$

because $\tau(PXP - PYP), \tau(QXQ - QYQ) \in \mathbb{R}$ and

$$\tau(PXQ + PYQ) = \tau(P(PXQ + PYQ)) = \tau((PXQ + PYQ)P) = \tau(0) = 0.$$

Similarly, $\tau(QXP + QYP) = 0$. \square

Corollary 7. *Under the conditions of Theorem 5 we have*

$$[A, X + Y] \in L_1(\mathcal{M}, \tau), \quad \tau([A, X + Y]) = 0.$$

PROOF. Since $XA - AY = (AX - YA)^* \in L_1(\mathcal{M}, \tau)$, it follows that

$$\tau(XA - AY) = \tau(AX - YA) \in \mathbb{R}.$$

Observe that

$$[A, X + Y] = AX - YA - (XA - AY). \quad \square$$

The next proposition generalizes the classical Putman Theorem for bounded hyponormal operators [29] (see also [3, Problem 236]) to the case of τ -measurable unbounded hyponormal operators.

Theorem 6. *No positive self-commutator $A^*A - AA^*$ for $A \in S(\mathcal{M}, \tau)$ is invertible in \mathcal{M} .*

PROOF. Suppose that for some $A \in S(\mathcal{M}, \tau)$ the operator $A^*A - AA^*$ has an inverse in \mathcal{M} ; i.e.,

$$A^*A - AA^* \geq \varepsilon I \tag{1}$$

for some $\varepsilon > 0$. Multiplying both parts of (1) on the left by A and on the right by A^* , we obtain

$$A^2A^{*2} \leq (AA^*)^2 - \varepsilon AA^*.$$

Therefore, for each number $t > 0$ we have

$$\begin{aligned}
\mu(t; A^2)^2 &= \mu(t; A^{*2})^2 = \mu(t; A^2A^{*2}) \leq \mu(t; (AA^*)^2 - \varepsilon AA^*) \\
&\leq \mu(t; (AA^*)^2) = \mu(t; AA^*)^2 = \mu(t; A)^4
\end{aligned} \tag{2}$$

by claims (ii) and (v) of Lemma 1.

Multiplying both sides of (1) on the left by A^* and on the right by A , we obtain

$$A^{*2}A^2 \geq (A^*A)^2 + \varepsilon A^*A. \tag{3}$$

Introduce the function $f(x) = x^2 + \varepsilon x$ of $x \in \mathbb{R}^+$. Then for all $t > 0$ we have

$$\begin{aligned}
\mu(t; A^2)^2 &= \mu(t; A^{*2}A^2) \geq \mu(t; (A^*A)^2 + \varepsilon A^*A) = \mu(t; f(A^*A)) \\
&= f(\mu(t; A^*A)) = \mu(t; A^*A)^2 + \varepsilon \mu(t; A^*A) = \mu(t; A)^4 + \varepsilon \mu(t; A)^2
\end{aligned} \tag{4}$$

by (3) and claims (v) and (ii) of Lemma 1. Now (2) and (4) yield

$$\mu(t; A)^4 \geq \mu(t; A^2)^2 \geq \mu(t; A)^4 + \varepsilon \mu(t; A)^2 \quad \text{for all } t > 0.$$

We arrive at a contradiction. \square

Note that the author obtained the claim of Theorem 6 by a different method in Theorem 2 of [15]; see also [16].

Theorem 7. *If $\tau(I) = +\infty$ then no positive self-commutator $A^*A - AA^*$ for $A \in S(\mathcal{M}, \tau)$ can be of the form $\lambda I + K$, where $\lambda \in \mathbb{C} \setminus \{0\}$ and K is a τ -compact operator.*

PROOF. Suppose that $A^*A - AA^* = \lambda I + K \geq 0$ for some $A \in S(\mathcal{M}, \tau)$ with a suitable $\lambda > 0$ and $K \in S_0(\mathcal{M}, \tau)$. Assume without loss of generality that $\lambda = 1$. Then $A^*A - K = AA^* + I$. Since $\mu(t; A)$ is nonincreasing and $A \notin S_0(\mathcal{M}, \tau)$, we have the limit

$$\lim_{t \rightarrow +\infty} \mu(t; A) = a > 0.$$

Observe that $\mu(t; AA^* + I) = 1 + \mu(t; AA^*)$ for each real $t > 0$ since

$$\mu(t; X) = \inf\{s > 0 : \tau(P^{|X|}(s, +\infty)) \leq t\}$$

for each operator $X \in S(\mathcal{M}, \tau)$, where $|X| = \int_0^{+\infty} u P^{|X|}(du)$ is the spectral decomposition of $|X|$ and the infimum is attained [32, Proposition 2.2]. Therefore, for each $t > 0$ we have

$$\begin{aligned} 1 + \mu(t; A)^2 &= 1 + \mu(t; AA^*) = \mu(t; AA^* + I) = \mu(t; A^*A - K) \\ &\leq \mu(t/2; A^*A) + \mu(t/2; K) = \mu(t/2; A)^2 + \mu(t/2; K) \end{aligned}$$

by claims (iv) and (v) of Lemma 1. Passing in the resulting inequality

$$1 + \mu(t; A)^2 \leq \mu(t/2; A)^2 + \mu(t/2; K), \quad t > 0,$$

to the limit as $t \rightarrow +\infty$, we obtain $1 + a^2 \leq a^2 + 0 = a^2$, which is a contradiction. \square

Theorems 6 and 7 imply the following:

Corollary 8. *If $X, Y \in S(\mathcal{M}, \tau)^h$ and $B := i[X, Y] \geq 0$ then*

- (a) *B cannot be invertible in \mathcal{M} ;*
- (b) *for $\tau(I) = +\infty$ B cannot be of the form $\lambda I + K$, where $\lambda \in \mathbb{C} \setminus \{0\}$ and $K \in S_0(\mathcal{M}, \tau)$.*

PROOF. It is easy to see that $B = \frac{1}{2}(A^*A - AA^*)$ for $A = X + iY$. \square

The self-commutator of an arbitrary operator $Y \in S(\mathcal{M}, \tau)$ is of the form $A - UAU^*$, where $A = Y^*Y$ and U is the partial isometry in the polar decomposition $Y = U|Y|$.

Theorem 8. *If $A \in S(\mathcal{M}, \tau)^+$ and $U \in \mathcal{M}$ is an isometry then $X := A - UAU^*$ is a self-commutator. For $\tau(I) < +\infty$ every self-commutator is of this form.*

PROOF. If $X = A - UAU^*$ then $A^{1/2}U^* = (UA^{1/2})^*$ and $X = [A^{1/2}U^*, UA^{1/2}]$.

Suppose that $\tau(I) < +\infty$ and that $X \in S(\mathcal{M}, \tau)^h$ is a self-commutator, meaning $X = Y^*Y - YY^*$ for some operator $Y \in S(\mathcal{M}, \tau)$. If $Y = V|Y|$ is the polar decomposition of Y then the partial isometry V “extends” to a unitary operator $U \in \mathcal{M}$ with the property $Y = U|Y|$; see the proof of Theorem 2 of [40]. Then $YY^* = U|Y|^2U^* = UY^*YU^*$, and we can choose $A := Y^*Y$. \square

Theorems 6–8 yields the following:

Corollary 9. *For $A \in S(\mathcal{M}, \tau)^+$ and an isometry $U \in \mathcal{M}$, put $X := A - UAU^* \geq 0$. Then*

- (a) *X cannot be invertible in \mathcal{M} ;*
- (b) *for $\tau(I) = +\infty$, X cannot be of the form $\lambda I + K$, where $\lambda \in \mathbb{C} \setminus \{0\}$ and $K \in S_0(\mathcal{M}, \tau)$.*

Lemma 4. *If $A, B \in S(\mathcal{M}, \tau)$, while B is normal and $AB = BA$, then $[A^* - B^*, A - B] = [A^*, A]$.*

PROOF. The Fuglede–Putnam Theorem for τ -measurable operators [41, Theorem 6] shows that $AB^* = B^*A$. Therefore,

$$BA^* = (AB^*)^* = (B^*A)^* = A^*B.$$

Note that for the algebra $LS(\mathcal{M})$ of all locally measurable operators affiliated to a von Neumann algebra \mathcal{M} of type I or type III, the Fuglede–Putnam Theorem was established in [42, Theorem 1] and [43, Theorem 1]. \square

Theorem 9. *If $A^2 = A \in S(\mathcal{M}, \tau)$ and $[A^*, A] \in L_1(\mathcal{M}, \tau)$ then $\tau([A^*, A]) = 0$.*

PROOF. For $A = A^2 \in S(\mathcal{M}, \tau)$ there exists a unique decomposition $A = P + Z$, where $P \in \mathcal{M}^{\text{pf}}$ and the operator Z with $Z^2 = 0$ lies in $S(\mathcal{M}, \tau)$ and satisfies $ZP = 0$ and $PZ = Z$ [44, Theorem 2.23]. By assumption, the operator

$$Z + Z^* + Z^*Z - ZZ^* = [A^*, A] \quad (5)$$

lies in $L_1(\mathcal{M}, \tau)$. Since $Z^*P = (PZ)^* = Z^*$, the operators

$$Z^* - ZZ^* = [A^*, A]P, \quad Z + Z^*Z = [A^*, A]P^\perp \quad (6)$$

lie in $L_1(\mathcal{M}, \tau)$ as well. Therefore,

$$Z^* + Z^*Z = (Z + Z^*Z)^* \in L_1(\mathcal{M}, \tau)$$

and the first equality in (6) yields

$$ZZ^* + Z^*Z \in L_1(\mathcal{M}, \tau).$$

Then $Z^*Z \in L_1(\mathcal{M}, \tau)$ and the second equality in (6) yields $Z \in L_1(\mathcal{M}, \tau)$. By Lemma 3 with $X = P$ and $Y = Z$ we obtain

$$\tau(Z) = \tau(PZ) = \tau(ZP) = \tau(0) = 0.$$

Thus, $\tau(Z^*) = \overline{\tau(Z)} = 0$. Using the equalities

$$\tau(X) = \int_0^{+\infty} \mu(t; X) dt \quad (X \in S(\mathcal{M}, \tau)^+),$$

see [37, Proposition 3.9(c)], and claims (i) and (v) of Lemma 1, we find that

$$\begin{aligned} \tau(A^*A - AA^*) &= \tau(Z + Z^* + Z^*Z - ZZ^*) = \tau(Z) + \tau(Z^*) + \tau(Z^*Z) - \tau(ZZ^*) \\ &= 0 + 0 + \int_0^{+\infty} \mu(t; Z^*Z) dt - \int_0^{+\infty} \mu(t; ZZ^*) dt \\ &= \int_0^{+\infty} (\mu(t; Z)^2 - \mu(t; Z^*)^2) dt = \int_0^{+\infty} 0 dt = 0. \end{aligned}$$

The proof of Theorem 9 is complete. \square

Corollary 10. *If $X = X^3 \in S(\mathcal{M}, \tau)$, the operator $X^2 - X$ is hermitian, and $[X^*, X] \in L_1(\mathcal{M}, \tau)$, then $\tau([X^*, X]) = 0$.*

PROOF. By [38, Proposition 1] we have $X = A - B$, where the idempotents $A = (X^2 + X)/2$ and $B = (X^2 - X)/2$ satisfy $AB = BA = 0$. Since $B = B^*$, Lemma 4 yields $[X^*, X] = [A^*, A]$, and then Theorem 8 applies. \square

Corollary 11. *If $X \in S(\mathcal{M}, \tau)$ with $X^2 = I$ and $[X^*, X] \in L_1(\mathcal{M}, \tau)$ then $\tau([X^*, X]) = 0$.*

PROOF. Given $A := (I + X)/2$, we have $A^2 = A$ and $X^*X - XX^* = 4(A^*A - AA^*)$. Thus, $\tau([X^*, X]) = 0$. \square

Corollary 12. *Suppose that $\tau(I) = 1$ and $A \in S(\mathcal{M}, \tau)$. If $[A^*, A] \in L_1(\mathcal{M}, \tau)$ and $A^2 \in \{A, I\}$ then $\|I + z[A^*, A]\|_1 \geq 1$ for all $z \in \mathbb{C}$.*

Proposition 1. *If $X, Y \in S(\mathcal{M}, \tau)$ with $XY = \lambda YX$ for some $\lambda \in \mathbb{C}$ and $[X, Y] \in L_1(\mathcal{M}, \tau)$ then $\tau([X, Y]) = 0$.*

PROOF. For $\lambda = 1$ the claim holds, and so we assume that $\lambda \neq 1$. We have

$$(\lambda - 1)YX = [X, Y] \in L_1(\mathcal{M}, \tau);$$

hence $YX, XY \in L_1(\mathcal{M}, \tau)$. Lemma 3 yields

$$\tau(YX) = \tau(XY) = \lambda\tau(YX) = 0,$$

and so $\tau([X, Y]) = 0$. \square

Some examples of operators $X, Y \in S(\mathcal{M}, \tau)$ with $XY = \lambda YX$ are given in claim (i) of Theorem 4; see also [45].

Theorem 10. *Consider $A, B \in S(\mathcal{M}, \tau)$ with $A^n = 0$ for some integer $n \geq 2$. For $k, m \in \mathbb{N}$ with $k + m \geq n$ the operator $A^k B A^m$ is a commutator and $A^k B A^m \in L_1(\mathcal{M}, \tau)$ implies that $\tau(A^k B A^m) = 0$.*

PROOF. We have

$$A^k B A^m = A^k B \cdot A^m - A^m \cdot A^k B = [A^k B, A^m].$$

If $A^k B A^m \in L_1(\mathcal{M}, \tau)$ then Lemma 3 with $X = A^k B$ and $Y = A^m$ yields

$$\tau(A^k B \cdot A^m) = \tau(A^m \cdot A^k B) = \tau(0) = 0, \quad k + m \geq n.$$

Observe that for $2k \geq n$ the operators $[A^k, B]$ and A^k anticommute, while $A^k B + B A^k$ and A^k commute. In particular, in the case $\tau(I) = 1$ we have

$$\|I + z A^k B A^m\|_1 \geq 1$$

for all $z \in \mathbb{C}$ and $k, m \in \mathbb{N}$ with $k + m \geq n$. \square

Theorem 11. *If a partial isometry U lies in \mathcal{M} and $U^n = 0$ for some integer $n \geq 2$ then U^{n-1} is a commutator and $U^{n-1} \in L_1(\mathcal{M}, \tau)$ implies that $\tau(U^{n-1}) = 0$.*

PROOF. Since $U = U U^* U$ by [3, Corollary 3 to Problem 98], for $n \geq 2$ we have

$$U^{n-1} = U^{n-2} \cdot U U^* U = U^{n-1} \cdot U^* U - U^* U \cdot U^{n-1} = [U^{n-1}, U^* U];$$

if $n = 2$ then $U = U \cdot U^* U - U^* U \cdot U = [U, U^* U]$.

Assume that $U^{n-1} \in L_1(\mathcal{M}, \tau)$. For $n \geq 2$ Lemma 3 with $X = U^* U^{n-1}$ and $Y = U$ and the equality $U = U U^* U$ yield

$$0 = \tau(0) = \tau(U^* U^n) = \tau(U \cdot U^* U \cdot U^{n-2}) = \tau(U^{n-1});$$

if $n = 2$ then similarly

$$0 = \tau(0) = \tau(U^* U^2) = \tau(U U^* U) = \tau(U).$$

In particular, if $\tau(I) = 1$ then $\|I + z U^{n-1}\|_1 \geq 1$ for all $z \in \mathbb{C}$. \square

Corollary 13. *Given a partial isometry $U \in L_1(\mathcal{M}, \tau)$, if the projections $P = U^* U$ and $Q = U U^*$ are mutually orthogonal then $U^2 = 0$. Therefore, U is a commutator and $\tau(U) = 0$.*

PROOF. Lemma 3 with $X = U$ and $Y = U^{*2} U$ shows that

$$0 = \tau(0) = \tau(QP) = \tau(U U^{*2} U) = \tau(U^{*2} U^2) = \tau(U^{2*} U^2) = \tau(|U^2|^2).$$

Since the trace τ is faithful, we infer that $|U^2|^2 = 0$; thus, $|U^2| = 0$ and $U^2 = 0$. \square

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CONFLICT OF INTEREST

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