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030	Khaiboullina have contributed equally	Plasmacytoid dendritic cells (pDCs) ir
031	to this work.	ciency virus (HIV)/acquired immunod
032		the fate of these cells has been the
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030	Received: 13 June 2015	the present study, we utilized immu
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079 n the periphery of subjects with human immunodefi-080 leficiency syndrome (AIDS) decrease over time, and 081 subject of ongoing investigation. Previous studies 082 083 udies with humans suggest that these cells may 084 s using animal models propose that the periphery 085 pulated with naive pDCs from the bone marrow. In 086 nohistochemistry to survey duodenum biopsies of 087 ls. We observed that subjects with HIV/AIDS had 088 089 03<sup>+</sup> pDCs, a phenotype consistent with bone mar-090 Ki-67<sup>+</sup>/CD303<sup>+</sup> pDCs were not observed in control 091 hat gut-associated pDCs in HIV/AIDS cases upreg-092 zyme B; however, no granzyme B was observed in 093 ata are consistent with reports in animal models that 094 095 ed by exhaustion and that naive pDCs egress from 096 trate the gut mucosa. Additionally, our observation 097 pDCs may identify a contributing factor to the gut 098 ion. 099 100

ell, pDC, gut, granzyme B

## <sup>101</sup> Introduction

06

Human immunodeficiency virus (HIV) infection is character-103 ized by a rapid loss of peripheral CD4<sup>+</sup> T lymphocytes during 104 the acute phase (1, 2). CD4<sup>+</sup> T-cell levels partially recover after 105 this phase; however, they gradually decline as the infection pro-106 gresses, ultimately contributing to the development of acquired 107 immunodeficiency syndrome (AIDS). In order to establish an 108 infection, the viral GP120 envelope glycoprotein must bind to the 109 cell's primary CD4 receptor as well as a coreceptor, either CCR5 110 or CXCR4 (3, 4). In addition to T cells, macrophages and den-111 dritic cells also express these receptors and are thus susceptible to 112 HIV infection (5, 6). It is therefore not surprising that decreased 113 numbers of dendritic cells are observed in the blood of HIV cases 114 and their presence inversely correlate with plasma viral load. 115 For example, Grassi and coworkers reported that conventional 116 dendritic cells (cDCs) expressing the integrin CD11c showed a 117 significant decline during the course of HIV infection and did not 118 return to normal levels after highly active antiretroviral therapy 119 (HAART) (7). Loss of cDCs as well as plasmacytoid dendritic 120 cells (pDCs) during HIV infection was also reported by Donaghy 121 et al. (8) and similar observations were described by Pacanowski 122 coworkers (9). Consistent with these observations, Azzoni et al. 123 reported that cDC and pDC levels were lower in HIV viremic 124 children when compared with those with undetectable plasma 125 viral load (10). In the same study, they showed that subjects with 126 declining levels of CD4<sup>+</sup> T cells are more likely to present with 127 lower DC numbers when compared with those with a stable 128 CD4<sup>+</sup> T-cell population. These data support a characteristic 129 pathology of HIV infection where declining DC levels inversely 130 correlate with plasma viral load and further suggest that DCs play 131 an important role in HIV pathogenesis. 132

Plasmacytoid DCs are the primary source of type I IFN and 133 account for over 95% of all IFNa produced by circulating lym-134 phocytes (11). Through the inhibition of viral replication, IFN $\alpha$ 135 would likely play a central role in controlling HIV replication and 136 consistent with this supposition, previous studies have reported 137 that high levels of serum IFN $\alpha$  are often found in asymptomatic 138 HIV carriers (12). In contrast, severe cases of AIDS have been 139 associated with a diminished capacity to produce IFN $\alpha$  and the 140 development of opportunistic infections (13). Furthermore, 141 decreased IFNa levels are reported to correlate with lower cir-142 culating pDC numbers (14). Collectively, these data suggest that 143 a decline in circulating pDCs and/or a diminished functional 144 capacity is associated with the lower serum IFNα levels observed 145 in subjects with HIV/AIDS. 146

A decline in peripheral pDCs during the course of HIV infec-147 tion is well documented; however, the mechanism responsible 148 for this decline remains a matter of current investigation. In vitro 149 studies have shown that pDCs are susceptible to HIV infection 150 and readily support viral replication (15). For this reason, their 151 decline has been suggested to be the result of cytopathic viral 152 replication (16). It has also been proposed that this decline 153 may be the result of redistribution into the tissue, such as the 154 regional lymph nodes (17, 18). Indeed, pDC redistribution into 155 the lymph nodes has been observed in the early stages of sim-156 ian immune deficiency virus (SIV) infection, the animal model 157

for human HIV infection (17, 19, 20). Nevertheless, depletion 158 of pDCs in lymphoid nodes of subjects infected with HIV has 159 been documented (21), suggesting that the potential site of 160 pDC redistribution remains elsewhere. Recently, Lehmann and 161 colleagues observed pDC accumulation into the gut mucosa 162 of HIV-infected subjects (22). Consistent with observations 163 in SIV-infected macaques, pDCs in HIV cases increased their 164 expression of gut-homing receptors (6, 23), implying that deple-165 tion of circulating pDCs is likely a result of their redistribution. 166 However, a recent report by Bruel et al. suggests that the loss of 167 pDCs in the periphery is the result of pDC exhaustion and the 168 apparent redistribution of pDCs to the gut can be explained as 169 pDC precursors migrating from the bone marrow to the gut (24). 170 In a follow-up study to an early report (23), Li et al. observed 171 that, in acute SIV infection of rhesus macaques, gut-homing was 172 imprinted upon pDCs in the bone marrow, which resulted in a 173 decline in pDCs from circulation and secondary lymphoid tissue 174 and subsequent accumulation of hyperfunctional CD4<sup>+</sup> pDCs in 175 the mucosae (25). 176

In the present study, we have investigated the distribution 177 and phenotype of pDCs in human subjects with HIV/AIDS. 178 Consistent with previous reports, our data show a statistically 179 significant decline in circulating pDCs in cases when compared 180 with healthy controls. Using immunohistochemistry, we also 181 observed a significant increase of pDC infiltration into the duo-182 denal mucosal tissue of HIV cases when compared with control 183 biopsies. Additionally and consistent with observations made by 184 Bruel et al. in SIV-infected cynomolgus macaques, we observed 185 that duodenal-associated pDCs in HIV-positive subjects express 186 the cellular proliferation marker Ki-67. Our study supports the 187 previous report of Bruel et al. (24) and Li et al. (25); however, fur-188 ther studies will be required to fully appreciate the contribution of 189 this subpopulation of pDCs to the enteropathy of HIV infection. 190

## **Materials and Methods**

### **Subjects**

Twenty-three subjects (15 males and 8 females) who were hos-195 pitalized at the Republican Center for AIDS Prophylaxis and 196 Prevention, Republic of Tatarstan, were enrolled in this study. 197 Diagnosis of HIV infection was established based on the presence 198 of anti-HIV antibodies using ELISA and confirmed by Western 199 blot. Blood samples were collected from the 23 HIV cases and 200 six of these subjects consented to providing a duodenal biopsy 201 for analysis. Additionally, blood samples were collected from 202 16 healthy donors. For control biopsies, we utilized duodenal 203 biopsies from eight individuals who underwent routine gastros-204 copy for gastritis and were otherwise healthy. The Institutional 205 Review Board of the Kazan Federal University approved this 206 study and informed consent was obtained from each study 207 subject according to the guidelines approved under this protocol 208 (article 20, Federal Law "Protection of Health Right of Citizens 209 of Russian Federation" N323-FZ, 11.21.2011) and in accord with 210 the Declaration of Helsinki (2008). Surplus clinical biopsies from 211 subjects with gastritis were acquired under an exemption to 212 IRB as determined by the University of Nevada, Reno Office of 213 Research Integrity (exemption #508962-1). 214

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#### 215 **Clinical Presentation of HIV Cases**

216 Diagnosis of HIV infection was established by detection of anti-HIV antibodies by ELISA and confirmed by Western blot. A total 217 218 of 23 HIV cases were enrolled in this study (Table 1); eight of whom were female (35%) and 15 (65%) were male with an average age of 219 35.6 years (range, 21-46 years). Mode of transmission included 220 sexual contact (11 cases; 48%) and IV drug users (12 cases; 52%). 221 Enrolled were 12 cases with the severe form (52%), 3 cases with 222 223 the advanced form (13%), 4 cases with the mild form (17.5%), and 4 cases with non-significant form (17.5%). Sixteen cases (70%) 224 received antiviral treatment while seven cases (30%) remained 225 226 without virus-specific therapy. Antiviral treatment included 227 nucleoside analogs, non-nucleoside reverse transcriptase inhibi-228 tors, and protease inhibitors. Average HIV RNA viral load was 229 4412.1  $\pm$  342 copies/ml, where 16 cases (70%) had a viral load 230 higher than 250 copies/ml; the remaining seven cases (30%) had an undetectable viral load or less than 250 copies/ml. The mean 231 CD4<sup>+</sup> T-cell count was  $306.1 \pm 56$  cells/mm<sup>3</sup>. As the disease 232 progressed, some subjects were diagnosed with opportunistic 233 infections, among which were candidiasis, hairy leukoplakia, and 234 tuberculosis. HIV case characteristics and status are summarized 235 in Table 1 and Table S1 in Supplementary Material. 236

### 237

#### Antibodies for Flow Cytometry and 238

#### Immunohistochemistry 239

PerCP mouse anti-human CD45 was from Beckman Coulter 240 (Brea, CA, USA). PE Anti-Ki-67 (clone B56) and PE mouse IgG1, ĸ 241 isotype control were from BD Pharmigen (San Jose, CA, USA); 242 243 PE mouse anti-human GZMB IgG1 (clone GB11) and PE mouse 244 IgG1 isotype control were from Life Technologies (Carlsbad, CA, USA). FITC or APC mouse anti-human CD303 (clone AC144); 245 PE mouse anti-human CD123 (clone AC145); PE mouse anti-246 human CD80 (clone 2D10); PE mouse anti-human CD56 IgG1 247 (clone AF12-7H3); APC mouse anti-human CD11c IgG2b 248 (clone MJ4-27G12); APC mouse IgG2b isotype control; and PE 249 mouse anti-human CD4 (clone VIT4) were from MiltenyiBiotec 250 (Auburn, CA, USA). 251

#### Flow Cytometry Analysis 253

Anticoagulated blood was collected in EDTA-containing vacu-254 tainer tubes by venipuncture. Whole blood (100  $\mu$ l) was labeled 255 with anti-human CD45-PerCP, anti-human CD303-APC, and 256 anti-human CD123-PE for 20 min at room temperature, lysed 257 with FACS Lyse (BD Biosciences, San Jose, CA, USA), and 258 analyzed immediately on a FACS Canto II flow cytometer using 259 260

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TABLE 1 | Demographics of HIV cases by World Health Organization 262 (WHO) immunological classification. 263

WHO HIV-associated	CD4 (cells/	Se	Total			
classification	<i>)</i>	Male	Female	n	%	
Severe	<200	8	4	12	52.0	
Advanced	200-349	3	0	3	13.0	
Mild	350-499	1	3	4	17.5	
None/not significant	≥500	3	1	4	17.5	
Total		15 (65%)	8 (35%)	23	100	

FACS Diva software (BD Biosciences). A minimum of 300,000 272 events was collected for each sample and pDCs were identified as 273 being CD45+CD303+CD123+. 274

#### **Tissues Preparation and Immunohistochemical** 276 Analysis 277

Limitations on gut tissue samples made flow cytometery analysis 278 impractical; therefore, we characterized duodenum biopsies 279 by immunohistochemistry. Fresh tissues were fixed in 4% 280 paraformaldehyde for 4 h at 4°C and cryoprotected with a 30% 281 sucrose solution in phosphate-buffered saline (PBS) before being 282 paraffin embedded. Immunohistochemical (IHC) staining was 283 performed on 2–3-µm-thick tissue sections. Tissue slides were 284 deparaffinized with xylene and rehydrated through a graded 285 alcohol series. Antigen retrieval was carried out by boiling 286 slides in sodium citrate (0.01M, pH 6.0) at 95°C for 10 min. The 287 slides were next rinsed in PBS and incubated in cold methanol 288 for 20 min at  $-20^{\circ}$ C. Tissue sections were then incubated with 289 human AB serum to block non-specific staining (1 h at 37°C) 290 and then incubated with the labeled antibody overnight at 4°C 291 in a humidified chamber. Slides were then washed (3×; Tween 292 0.1% PBS) and examined using a Leitz TCS-SP2 RS scanning 293 laser confocal microscope (Wetzlar, Germany) and images were 294 captured with Leitz analysis software. 295

In order to identify duodenum-infiltrating pDCs, we utilized a 296 panel of fluorescently labeled monoclonal antibodies specific for 297 putative surface cell receptors know to be expressed on mature and 298 naive pDCs. Included in this panel were monoclonal antibodies 299 specific for CD123, which is normally expressed on all mature 300 and BM-derived pre-pDCs; CD303, which is uniquely expressed 301 on circulating pDCs (26) and also on BM-derived pre-pDCs as 302 early as Stage II (27); Ki-67, to distinguish naive pDCs (Ki-67<sup>+</sup>) 303 from mature non-dividing (Ki-67<sup>-</sup>) pDCs; and the costimulatory 304 marker, CD80, which is not expressed on BM-derived pre-pDCs 305 at any stage, was used as an activation marker. We additionally 306 probed our biopsies for the myloid CD marker, CD11c, which 307 is also expressed on a minor population of BM-derived pDCs, 308 and finally, in order to identify pDCs with cytotoxic potential, 309 we probed our specimens for granzyme B (GZMB), and CD56. 310

## **Statistical Analysis**

Data are presented as mean/SD. Statistical analysis was performed 313 using Mann-Whitney test for comparisons between individual 314 experimental groups (case and control). Significance was estab-315 lished at a value of p < 0.05. 316

## Results

## Decreased pDCs in the Periphery of **HIV/AIDS Case**

Previous studies have shown that subjects with HIV/AIDS 322 have decreased circulating pDCs when compared with healthy 323 controls. To confirm that our study population was consistent 324 with those previously reported, we initially evaluated our sub-325 jects for circulating pDCs by flow cytometry. Total lymphocytes 326 were first gated by CD45<sup>+</sup>/side scatter (data not shown) and 327 then pDCs were identified as a population of CD303<sup>+</sup>/CD123<sup>+</sup> 328

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lymphocytes (**Figure 1A**). The mean pDC count was calculated as a ratio of CD303<sup>+</sup>CD123<sup>+</sup>CD45<sup>+</sup> over total CD45<sup>+</sup> lymphocytes. Consistent with the observations of others, we observe that, on average, subjects with HIV/AIDS had significantly lower circulating CD303<sup>+</sup>CD123<sup>+</sup> lymphocytes when compared with healthy controls,  $0.044 \pm 0.084$  vs.  $0.093 \pm 0.014$  (p = 0.0031) (**Figure 1B**).

## Increased pDC Infiltration of the Duodenum in Association with HIV Infection

Plasmacytoid dendritic cells exclusively express the surface marker
 CD303 (BDCA-2) and non-exclusively express CD123, the receptor
 for the myeloid stimulatory cytokine IL-3 (26, 28, 29). Consistent

with ability to become infected by HIV, they also express the HIV 386 receptor CD4 as well as the coreceptors CCR5 and CXCR4. As with other antigen-presenting cells, when activated, they additionally 388 express the B7 costimulatory molecules CD80 and CD86. Therefore, 389 in order to characterize gut-associated pDCs in HIV/AIDS cases and controls, we probed the respective duodenum biopsies with fluorescently labeled anti-CD303, anti-CD123, anti-CD4, and 392 anti-CD80 monoclonal antibodies (30). Consistent with previous 393 reports, we observed a substantial infiltration of CD303<sup>+</sup> pDCs in 394 all duodenum biopsies from the HIV-infected subjects; however, 395 we observed significantly fewer infiltrating pDCs in the control biopsies (Figure 2). In order to quantify these differences, five 397



**FIGURE 1** (A) Flow cytometry was used to evaluate circulating plasmacytoid dendritic cells (pDCs) in HIV/AIDS cases and controls. Aliquots of whole blood from 23 cases and 16 controls were labeled with APC-anti-CD303, PE-anti-CD123, and PerCp-anti-CD45. Lymphocytes were initially gated by CD45<sup>+</sup>/side scatter and pDCs were identified as CD303<sup>+</sup>/CD123<sup>+</sup> lymphocytes. (B) pDCs, as a percentage of total lymphocytes, were used to evaluate differences between cases and controls. Mean pDC counts of HIV cases were 0.044  $\pm$  0.084 and controls were 0.093  $\pm$  0.014 (p = 0.0031).



FIGURE 2 | Infiltration of plasmacytoid dendritic cells in duodenum biopsies of six subjects with HIV/AIDS (A–F) and six non-HIV subjects who were evaluated for gastritis (G–L). Biopsies were probed with antibodies FITC-anti-CD303 monoclonal antibody (green) and nucleus localization was determined by TOPO3 staining (blue). Bar represents 20 μm.

microscopic fields were randomly chosen for each subjects in each cohort and used to calculated average pDC infiltration (Table 2). We observed a sixfold greater infiltration of pDCs in the gut biop-sies of HIV-infected cases over that of the controls (p < 0.0051). In order to further characterize the pDC infiltrate, double staining was conducted which confirmed that all CD303<sup>+</sup> cells were also all CD4<sup>+</sup> consistent with peripheral and pDCs and state II and III BM-derived pDCs (Figure 3). Additionally, all CD303<sup>+</sup> cells were also CD123<sup>+</sup> in the control biopsies (Figures 4A-C); however 

Field		Controls					Mean/SD
	1	2	3	4	5	6	
1	1	1	2	3	1	2	
2	3	2	4	3	0	4	
3	0	5	1	1	3	2	
4	1	1	0	3	2	5	
5	1	1	2	1	2	2	
Sum	6	10	9	11	8	15	9.8/3.1
Field			HIV o	ases			Mean/SD
	1	2	3	4	5	6	
1	10	12	15	9	9	18	
2	12	7	14	15	14	18	
3	16	12	17	10	17	10	
4	10	15	10	13	11	13	
5	11	11	7	17	13	11	

473 Five microscopic fields were chosen at random and used to count total pDCs in the 474 duodenum of six controls (top) and six cases (bottom). p = 0.0051 by Mann–Whitney. and unexpectedly, the CD123 staining was observably lower or 500 absent for the HIV cohort (**Figures 4D–F**). Finally, double staining 501 for CD303 and CD80 revealed that most of the CD303<sup>+</sup> cells in 502 the control biopsies also expressed the activation marker CD80 503 (**Figures 4G–I**), and all CD303<sup>+</sup> cells in biopsies from the HIV 504 cohort were also CD80<sup>+</sup> (**Figures 4J–L**). 505

## Gut-Associated pDCs in HIV Cases Display an Immature Phenotype

Using SIV-infected cynomolgus macaques, an animal model of HIV, Bruel and coworkers observed that gut-associated pDCs express the cellular proliferation marker Ki-67 (24). In that pDCs in the periphery do not divide, this observation suggests that the 512 gut-associated pDCs in the SIV-infected macaques are pDCs precursors from bone marrow and not mature pDCs from the periphery. To the best of our knowledge, this observation has 515 not been confirmed in humans. Therefore, we used fluorescently 516 labeled anti-Ki-67 monoclonal antibodies to probe the biopsies of 517 HIV-infected cases and controls. Consistent with the observations of Bruel et al., we observed CD303<sup>+</sup>/Ki-67<sup>+</sup> cells in the biopsies of HIV-infected subjects (Figures 5A-C) but not in the control biopsies (Figures 5D-F). In order to evaluate the specificity of the 521 primary antibody, we probed the same biopsies with a matched iso-type control. An absence of non-specific staining (Figures 5G-I) confirmed that the immunoreactivity for CD303 and Ki-67 was indeed specific. A minor population of BM-derived pre-pDCs are 525 also known to express the myeloid DC marker CD11c. Again, consistent with BM-derived pre-pDCs, we observed that most of the duodenum-associated pDCs in the HIV biopsies were also CD11c<sup>+</sup> (**Figures 6A–C**); however, no CD303<sup>+</sup>/CD11c<sup>+</sup> cells were observed in the control biopsies (**Figures 6D–F**).

D Ε FIGURE 3 | Duodenum biopsies of HIV/AIDS cases and controls evaluated for coexpression of CD303 (green) and CD4 (red). Bar represents 20 µm. (A) HIV case duodenum biopsies probed with a monoclonal FITC-anti-CD303 antibody. (B) HIV case duodenum biopsies probed with a monoclonal PE-anti-CD4 antibody. (C) HIV case duodenum biopsies DIC image merged with (A,B). (D) Control duodenum biopsies probed with a monoclonal FITC-anti-CD303 antibody. (E) Control duodenum biopsies probed with a monoclonal PE-anti-CD4 antibody. (F) Control duodenum biopsies DIC image merged with (D,E). 

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## Gut-Associated pDCs in HIV-Infected SubjectsDisplay a Killer-pDC Phenotype

Previous studies have reported that the GI tract experiences significant pathology during the course of HIV infection [reviewed by Brenchley and Douek (132)]; however, the mechanism of this pathology is not fully understood. pDCs have the capacity to

display a "killer" phenotype, with the ability to lyse target cells 665 in either a GZMB- or TRAIL-dependent manner (31, 32). We 666 therefore speculated that this may contribute to the gut mucosal 667 damage associated with HIV infection. To this end, we probed the 668 duodenum biopsies of HIV subjects and controls for coexpression 669 of CD303 and GZMB and observed significant immunoreactivity 670

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(CD303<sup>+</sup>/GZMB<sup>+</sup>) in the HIV cohort (Figures 7A-C). In 709 contrast, an absence of immunoreactivity with the anti-GZMB 710 antibody was observed in control biopsies (Figures 7D-F). It 711 has also been reported that GZMB-dependent killer pDCs also 712 713 upregulate the neural adhesion marker CD56; therefore, we addi-714 tionally probed the same biopsies with anti-CD56 and observed a number of CD303<sup>+</sup>/CDCD56<sup>+</sup> cells in the biopsies of HIV/AIDS 715 cases (Figures 8A-C). In contrast, no CD303<sup>+</sup>/CD56<sup>+</sup> cells were 716 observed in the controls biopsies (Figures 8D-F). Absence of 717 reactivity with matched isotype controls for the anti-GZMB and 718 CD56 antibodies suggested that the binding was specific. 719

## 721 **Discussion**

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As the principal source of type IFN produced by circulating
immune cells, pDCs play a seminal role in the innate antiviral
immune response. Under homeostatic conditions, pDCs are
primarily found in circulation and mucosal tissues such as the
gastrointestinal lymphoid tissue (GALT) and the respiratory tract

(33–35). Only small numbers of pDCs are normally present in 766
peripheral tissue; however, their transmigration increases significantly during inflammation (36, 37). Once activated, pDCs 768
have the capacity to release proinflammatory cytokines such as 769
CXCL8 and CXCL10, and therefore, they also have the capacity 770
to contribute to pathology. 771

Numerous studies have shown that pDCs are qualitatively 772 and quantitatively impacted during HIV infection. For example, 773 Malleret et al. reported a decline in the number of circulating 774 pDCs in animals infected with SIV (17). Additionally, Brown 775 and coworkers observed a rapid decline in circulating pDCs that 776 correlated with their migration to the lymph nodes (19). Other 777 studies have reported a decline in circulating pDC numbers in 778 HIV cases that inversely correlated with viremia (16, 38, 39). 779

Several hypotheses have been proposed to explain the decrease 780 in circulating pDC associated with HIV infection, including, 781 virus-associated cytopathic effects and pDC redistribution, from 782 the circulation into tissue (9, 16, 40–43). Kwa and coworkers 783 reported that pDCs infiltrate the colorectal region of the gut in 784



SIV acutely infected rhesus macaques and that the gut infiltrating 810 pDCs were producing high levels of IFNα and other proinflam-811 matory cytokines (44). Additionally, Reeves et al. reported that 812 813 SIV infection induces the accumulation of pDCs in the gut 814 mucosa (23), and Li et al. observed a fourfold increase in pDC accumulation in jejunum, colon, and gut-draining LNs of SIV-815 infected rhesus macaques (45). Similar distributions of pDCs 816 have been observed in HIV cases. For instance, Lehmann and 817 coworkers observed increased pDC accumulation in the termi-818 nal ileum of HIV-infected subjects (46), and tissue homing was 819 explained by significant upregulation of the gut-homing receptor 820 CD103, when compared with uninfected controls. These data 821 support a model whereby pDCs redistribute from the circulation 822 into the gut during the course of HIV infection. Although the 823 824 previous data unequivocally supported this supposition, it was recently challenged by the report of Bruel and colleagues whereby 825 they described the depletion of IFN-producing pDCs in the 826 827 periphery as a result of activation-driven exhaustion, followed by 828 a concomitant increase in gut-associated pDCs (24). They further reported that the gut-associated pDCs are primarily naive Ki-67<sup>+</sup> 829 pDCs, suggesting that the BM-derived pDCs egressed from the 830 BM to replace the depleted circulating pDCs and subsequently 831 migrate from the periphery to the gut. Therefore, the current 832 data suggest that the loss of peripheral pDCs during the course 833 of HIV infection is not just a matter of tissue redistribution but 834 835 a combination of pDC depletion, repopulation, and migration.

Buring the acute infection stage, pDCs respond with a robust
IFN production; however as the acute stage transitions to the
chronic stage, they become refractory with respect to their ability to produce IFN (47). BM-derived pDC precursors have little
capacity to produce type I IFN and may also have a phenotype different from that of mature circulating pDCs that depends on their

stage of development (27). Three subsets of pre-pDCs have been 867 described based on the expression level of CD34 and HLA-DR 868 (Class II) surface markers. All three populations express CD123 869 (IL- $3\alpha$  chain receptor); however, CD4 expression is absent in the 870 earliest pre-pDCs (Stage I pre-pDCs) but becomes evident in the 871 more developed pre-pDCs (Stages II and III) (27). Additionally, 872 CD184 (CXCR4) is observed in all three stages. These observa-873 tions suggest that pre-pDCs have the capacity to be infected by 874 HIV at least as early as Stage II. 875

In the present study, we observed that duodenum-associated 876 CD303<sup>+</sup> pDCs expressed Ki-67, but no anti-Ki-67 immunoreac-877 tivity was observed in the control biopsies. These observations are consistent with those made in SIV-infected cynomologus 879 macaques by Bruel et al. (24). Ki-67 is nuclear proliferation anti-880 gen, which is expressed by cells in a non- $G_0$  phase of the cell cycle 881 and therefore is indicative of pDCs that were recently mobilized 882 from the bone marrow (19, 48). Additionally, CD303 is not present 883 on Stage I BM-derived pre-pDCs (27); therefore, our data further 884 suggests that duodenum-associated pDCs in HIV-infected sub-885 jects are largely consistent with Stage II or Stage III pre-pDCs. We 886 also observed that gut-associated CD303<sup>+</sup> pDCs expressed the B7 887 costimulatory molecule CD80. The B7 costimulatory molecule 888 CD80 is upregulated during *in vitro* HIV infection of pDCs (49); 889 however, circulating pDCs from healthy or HIV-infected subjects 890 show little, if any, CD80 expression. Additionally, characterization 891 of pre-pDCs from healthy BM-donors suggests that these cells 892 do not express CD80 (27). Our observation of CD80 expression 893 by gut-associated pDCs implies that these cells may be activated 894 preferentially in the gut over that of those in the circulation. Also, 895 in contrast to controls, we observed that gut-associated pDCs in 896 HIV-infected subjects express the common myeloid dendritic 897 cell protein CD11c. Although murine pDCs express CD11c at 898



low levels, its expression is typically absent on circulating human
pDCs. Notwithstanding, CD11c expressed on a subpopulation of
BM-derived pre-pDCs has been described, again supporting the
supposition that the gut-associated pDCs in our HIV cohort are
naive BM-derived pDCs.

941 Activated pDCs have the capacity to express the cytotoxic 942 enzyme GZMB; however, its expression is downregulated in the presence of IFN $\alpha$  and upregulated by IL-3 (50). Not only are 943 BM-derived pre-pDCs refractory to the production of type I IFN, 944 we observed gut-associated pDCs in our HIV cohort have low or 945 absent CD123 expression, albeit this observation is only qualita-946 tive in that it was not practical to quantify this value by IHC. 947 IL-3 signaling and GZMB expression is intimately connected 948 949 (50); therefore, a dysregulation in CD123 (the IL-3 receptor) may potentially impact the expression of GZMB. In addition to the 950 cytotoxic and proapoptotic role of GZMB, it also plays a role in 951 inflammation and tissue remodeling (49). Severe tissue damage 952 leading to increased intestinal epithelium permeability is hall-953 mark of HIV infection (51), and this process is not reversed even 954 after long-term HAART therapy (52-54). Although speculative 955

at this point, the presence of GZMB may suggest a potential 993 mechanism that contributes to the decreased regenerative capac-994 ity of the gut epithelium and increased mucosal permeability and 995 inflammation associated with HIV infection (55-57). To the best 996 of our knowledge, the expression of GZMB and the downregula-997 tion of CD123 in gut-associated pDCs have not been described 998 in HIV; however, future studies will be required to fully elucidate 999 the role of GZMB in HIV-associated gut pathology. Finally, 1000 we observed that most CD303<sup>+</sup> cells also expressed the neural 1001 adhesion molecule CD56. Tel et al. have previously described the 1002 coexpression of CD303, CD56, and GZMB by pDCs activated 1003 with the preventative vaccine to tick-borne encephalitis virus 1004 FSME. These "killer pDCs" possessed the tumoricidal capacity to 1005 lyse K562 and Daudi cells in a contact-dependent manner (58). 1006 They additionally reported that CD303 and CD56 expression 1007 coincided with elevated expression of programmed death-ligand 1008 1 (PD-L1), GZMB, and TNF-related apoptosis-inducing ligand 1009 (TRAIL). It is also noteworthy that all pDC neoplasms express 1010 the CD56 marker (59), further suggesting that CD56 expression 1011 by pDCs is not necessarily novel or without precedence. The 1012



FIGURE 8 | Duodenum biopsies of HIV/AIDS cases and controls evaluated for coexpression of CD303 (green) and CD56 (red). Bar represents 20 µm. (A) HIV case duodenum biopsies probed with a monoclonal FITC-anti-CD303 antibody. (B) HIV case duodenum biopsies probed with monoclonal APC-anti-CD56 antibody. (C) HIV case duodenum biopsies DIC image merged with (A,B). (D) Control duodenum biopsies probed with monoclonal FITC-anti-CD303 antibody. (E) Control duodenum biopsies probed with monoclonal APC-anti-CD56 antibody. (F) Control duodenum biopsies DIC image merged with (D,E).

significance of this marker has yet to be determined on NK cells so the contribution to pDC biology in the context of HIV infection will require further investigations as well.

## Conclusion

In summary, our data show that subjects with HIV have, on average, decreased pDCs in the periphery, when compared with healthy controls, consistent with previous reports. Additionally, we show that gut-associated pDCs in HIV cases express the cellular proliferation marker Ki-67, which suggests that the gut-associated pDCs are naive and likely of bone marrow origin. Finally, we observed that gut-associated pDCs have an activated phenotype and also upregulate the proapoptotic enzyme GZBM. When taken together, our data support a model of HIV progression whereby circulating pDCs are depleted and replaced by naive BM-derived pDCs, which have little, if any, IFN-producing capacity. Ultimately, these pDCs migrate to the gut, potentially subjecting the gut mucosa to the inflammatory effects and damage associated with inflammatory cytokine production and GZMB expression. The type I IFN produced by competent pDCs is a critical part of an innate immune response

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to viral infection. Therefore, a greater understanding of the fate of these cells in HIV infection may lead to strategies that can restore the IFN-producing capacity of the innate immune system.

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## Supplementary Material

The Supplementary Material for this article can be found online at http://journal.frontiersin.org/article/10.3389/fimmu.2015.00485

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